# Enumerating exceptional collections on some surfaces of general type with $p_q = 0$

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#### Abstract

We use constructions of surfaces as abelian covers to write down exceptional collections of maximal length for every surface X in certain families of surfaces of general type with  $p_g = 0$  and  $K_X^2 = 3, 4, 5, 6, 8$ . We also compute the algebra of derived endomorphisms for an appropriately chosen exceptional collection, and the Hochschild cohomology of the corresponding quasiphantom category. As a consequence, we see that the subcategory generated by the exceptional collection does not vary in the family of surfaces.

# 1 Introduction

Exceptional collections of maximal length on surfaces of general type with  $p_g = 0$  have been constructed for Godeaux surfaces [12] and [14], primary Burniat surfaces [2], and Beauville surfaces [23] and [35]. Recently, progress has also been made for some fake projective planes [24] and [22]. In this article, we present a method which can be applied uniformly to produce exceptional collections of line bundles on several different surfaces with  $p_g = 0$ , including Burniat surfaces with  $K^2 = 6$  (cf. [2]), 5, 4, 3, Kulikov surfaces with  $K^2 = 6$  and some Beauville surfaces with  $K^2 = 8$  (cf. [23], [35]). In fact we do more: we enumerate all exceptional collections of line bundles corresponding to any choice of numerical exceptional collection. We can use this enumeration process to find those exceptional collections that are particularly well-suited to studying the surface itself, and possibly its moduli space.

Both [2] and [23] hinted that it should be possible to produce exceptional collections of line bundles on a wide range of surfaces of general type with  $p_g = 0$ . This inspired us to build the approaches of [2] and [23] into a larger framework (see especially Sec. 2), an important part of which is a new formula for the pushforward of a line bundle on an abelian cover, generalising formulas in [39]. We believe that this is a step in the right direction, even though there are many families of surfaces which remain just out of reach (for example, see Sec. 6).

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Let X be a surface of general type with  $p_g = 0$ , and let Y be a del Pezzo surface with  $K_Y^2 = K_X^2$ . The groups Pic X/Tors X and Pic Y are both isomorphic to  $\mathbb{Z}^{1,N}$ , where  $N = 9 - K_X^2$ , and moreover, the cohomology groups  $H^2(X, \mathbb{Z})$  and  $H^2(Y, \mathbb{Z})$  are completely algebraic. By exploiting this relationship between X and Y, we can study exceptional collections of line bundles on X. Indeed, exceptional collections on del Pezzo surfaces are well understood after [38], [30], and we sometimes refer to X as a fake del Pezzo surface, to emphasise this analogy.

Suppose now that X is a fake del Pezzo surface that is constructed as a branched Galois abelian cover  $\varphi \colon X \to Y$ , where Y is a (weak) del Pezzo surface with  $K_Y^2 = K_X^2$ . Many fake del Pezzo surfaces can be constructed in this way (cf. [9]), but we require certain additional assumptions on the branch locus and Galois group (see Sec. 3.1). These assumptions ensure that there is an appropriate choice of lattice isometry Pic  $Y \to \text{Pic } X/\text{Tors } X$ . This isometry is combined with our pushforward formula to calculate the coherent cohomology of any line bundle on X.

**Theorem 1.1** Let X be a fake del Pezzo surface satisfying our assumptions, and let L be any line bundle on X. We have an explicit formula for the line bundles  $M_{\chi}$  appearing in the pushforward  $\varphi_*L = \bigoplus_{\chi \in G^*} M_{\chi}$ , where G is the Galois group of the cover  $\varphi \colon X \to Y$ .

Working modulo torsion, we can lift any exceptional collection of line bundles from Y to a numerical exceptional collection on X. We then incorporate Theorem 1.1 into a systematic computer search, to find those combinations of torsion twists which correspond to an exceptional collection on X.

Let  $\mathbb{E}$  be an exceptional collection on X, and suppose  $H_1(X,\mathbb{Z})$  is nontrivial. Then  $\mathbb{E}$  can not be full, for K-theoretic reasons (see Sec. 4). Hence we have a semiorthogonal decomposition of the bounded derived category of coherent sheaves on X:

$$D^b(X) = \langle \mathbb{E}, \mathcal{A} \rangle$$

If  $\mathbb{E}$  is of maximal length, then  $\mathcal{A}$  is called a quasiphantom category; that is,  $K_0(\mathcal{A})$  is torsion and the Hochschild homology  $HH_*(\mathcal{A})$  is trivial. Even when  $H_1(X,\mathbb{Z})$  vanishes, an exceptional collection of maximal length need not be full (see [14]), and in this case  $\mathcal{A}$  is called a phantom category, because  $K_0(\mathcal{A})$  is trivial.

On the other hand, the Hochschild cohomology does detect the quasiphantom category  $\mathcal{A}$ ; in fact,  $HH^*(\mathcal{A})$  measures the formal deformations of  $\mathcal{A}$ . We calculate  $HH^*(\mathcal{A})$  by considering the  $A_{\infty}$ -algebra of endomorphisms of  $\mathbb{E}$ , together with the spectral sequence developed in [33]. Indeed, one of the advantages of our systematic search, is that we can find exceptional collections for which the higher multiplications in the  $A_{\infty}$ -algebra of  $\mathbb{E}$  are as simple as possible. Theorem 1.2 below serves as a prototype statement of our results for a good exceptional collection on a fake del Pezzo surface. More precise statements can be found in the text.

**Theorem 1.2** Let  $\mathcal{X} \to T$  be a family of fake del Pezzo surfaces satisfying our assumptions. Then for any t in T, there is an exceptional collection  $\mathbb{E}$  of line bundles on  $X = \mathcal{X}_t$  which has maximal length  $12 - K_X^2$ . Moreover, the subcategory of  $D^b(X)$  generated by  $\mathbb{E}$  does not vary with t, and the Hochschild cohomology of X agrees with that of the quasiphantom category  $\mathcal{A}$  in degrees less than or equal to two.

The significance of Theorem 1.2 is amplified by the reconstruction theorem of [16]: if X and X' are smooth,  $\pm K_X$  is ample, and  $D^b(X)$  and  $D^b(X')$  are equivalent bounded derived categories, then  $X \cong X'$ . In conjunction with Theorem 1.2, we see that if  $K_X$  is ample, then X can be reconstructed from the quasi-phantom category  $\mathcal{A}$ . Currently, it is not clear whether there is any practical way to extract information about X from  $\mathcal{A}$ , although some interesting ideas are discussed in [2]. It would be interesting to know whether this "rigidity" of  $\mathbb{E}$  is a general phenomenon, or just a coincidence for good choices of exceptional collection.

The study of exceptional collections of line bundles on fake del Pezzo surfaces leads naturally to the question of how to characterise effective divisors on X. For example, in [1], there is an explicit description of the semigroup of effective divisors on the Burniat surface with  $K^2 = 6$ , as well as possible descriptions for the other Burniat surfaces. We believe that Theorem 1.1 can be used to prove similar characterisations for the other fake del Pezzo surfaces considered in this article. Indeed, as a test case, we describe the semigroup of effective divisors on certain Beauville surfaces with  $K^2 = 8$  in App. C.

In Section 2 we review abelian covers, and prove our result on pushforward of line bundles, which is used throughout. In Section 3.1, we explain our assumptions on Xand its Galois covering structure  $\varphi: X \to Y$ , and describe our approach to enumerating exceptional collections on the surface of general type. Section 3.2 is an extended treatment of the Kulikov surface, which is a fake del Pezzo surface with  $K^2 = 6$ . We give a cursory review of dg-categories and  $A_{\infty}$ -algebras in Section 4, as background to our discussion of quasi-phantom categories and the theory of heights from [33]. We then show to compute the  $A_{\infty}$ -algebra and height of an exceptional collection on the Kulikov surface.

Section 5 considers the families of Burniat surfaces with  $K^2 = 6, 5, 4, 3$ . Exceptional collections of line bundles on Burniat surfaces with  $K^2 = 6$  have already appeared in [2]. As the size of Tors X decreases, it becomes more difficult to find well-behaved exceptional collections. Thus we need to use the action of the Weyl group on Pic X/Tors X (cf. Sec. 3.1) to find suitable exceptional collections on Burniat surfaces with  $K^2 = 4, 3$ . Finally, section 6 is a discussion of the Keum–Naie surface with  $K^2 = 4$ , showing what can go wrong when we tweak our assumptions.

Appendix A lists certain data relevant to the Kulikov surface example of Section 3.2. Appendix B is a reference for the calculations on Burniat surfaces in Section 5. The last Appendix C treats two Beauville surfaces with  $K^2 = 8$ , and should be compared with [23], [35].

In order to use results on deformations of each fake del Pezzo surface, we work over  $\mathbb{C}$ .

**Remark 1.1** The calculation of  $\varphi_*L$  according to Theorem 1.1 is elementary but repetitive; we include a few sample calculations to illustrate how to do it by hand, but when the torsion group becomes large, it is more practical to use computer algebra. Our enumerations of exceptional collections are obtained by simple exhaustive computer searches. We use Magma [11], and the annotated scripts are available from [19].

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# 2 Preliminaries

We collect together the relevant material on abelian covers. See especially [39], [7] or [31] for details. Unless stated otherwise, X and Y are normal projective varieties, with Y nonsingular. Let G be a finite abelian group acting faithfully on X with quotient  $\varphi: X \to Y$ . Write  $\Delta = \sum \Delta_i$  for the branch locus of  $\varphi$ , where each  $\Delta_i$  is a reduced, irreducible effective divisor on Y. The cover  $\varphi$  is determined by the group homomorphism

$$\Phi \colon \pi_1(Y - \Delta) \to H_1(Y - \Delta, \mathbb{Z}) \to G,$$

which assigns an element of G to the class of a loop around each irreducible component  $\Delta_i$ of  $\Delta$ . If  $\Phi$  is surjective, then X is irreducible. The factorisation through  $H_1(Y-\Delta,\mathbb{Z})$  arises because G is assumed to be abelian, so we only need to consider the map  $\Phi: H_1(Y-\Delta,\mathbb{Z}) \to$ G. For brevity, we refer to the loop around  $\Delta_i$  by the same symbol,  $\Delta_i$ .

Let  $\widetilde{Y}$  be the blow up of Y at a point P where several branch components  $\Delta_{i_1}, \ldots, \Delta_{i_k}$ intersect. Then there is an induced cover of  $\widetilde{Y}$ , and the image of the exceptional curve E under  $\Phi$  is given by

$$\Phi(E) = \sum_{j=1}^{k} \Phi(\Delta_{i_j}).$$
(1)

Fix an irreducible reduced component  $\Gamma$  of  $\Delta$  and denote  $\Phi(\Gamma)$  by  $\gamma$ . Then the inertia group of  $\Gamma$  is the cyclic group  $H \subset G$  generated by  $\gamma$ . Choosing the generator of  $H^* =$  $\operatorname{Hom}(H, \mathbb{C}^*)$  to be the dual character  $\gamma^*$ , we may identify  $H^*$  with  $\mathbb{Z}/n$ , where n is the order of  $\gamma$ . Composing the restriction map res:  $G^* \to H^*$  with this identification gives

$$G^* \to \mathbb{Z}/n, \ \chi \mapsto k,$$

where  $\chi|_H = (\gamma^*)^k$  for some  $0 \le k \le n-1$ . On the other hand, given  $\chi$  in  $G^*$  of order d, the evaluation map  $\chi \colon G \to \mathbb{Z}/d$  satisfies

$$\chi(\gamma) = \frac{d}{n}\chi|_H(\gamma) = \frac{dk}{n}$$

as a residue class in  $\mathbb{Z}/d$  (or as an integer between 0 and d-1).

The pushforward of  $\varphi_* \mathcal{O}_X$  breaks into a direct sum of eigensheaves

$$\varphi_*\mathcal{O}_X = \bigoplus_{\chi \in G^*} \mathcal{L}_{\chi}^{-1}.$$
 (2)

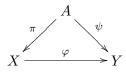
Moreover, the  $\mathcal{L}_{\chi}$  are line bundles on Y and by Pardini [39], their associated (integral) divisors  $L_{\chi}$  are given by the formula

$$dL_{\chi} = \sum_{i} \chi \circ \Phi(\Delta_i) \Delta_i.$$
(3)

The line bundles  $\mathcal{L}_{\chi}$  play a pivotal role in the sequel, and we refer to them as the *character* sheaves of the cover  $\varphi \colon X \to Y$ .

## **2.1** Line bundles on X

We develop tools for calculating with torsion line bundles on X. Let  $\pi: A \to X$  be the étale cover of X associated to the torsion subgroup  $T = \text{Tors } X = \pi_1(X)^{\text{ab}} = H_1(X, \mathbb{Z})$ . We call A the maximal abelian cover of X, and we have the following commutative diagram



Suppose the big cover  $\psi: A \to Y$  is Galois with group  $\widetilde{G}$ , ramified over the same branch divisor  $\Delta$  as  $\varphi: X \to Y$ . Then the original group G is the quotient  $\widetilde{G}/T$ , so we get short exact sequences

$$0 \to T \to \hat{G} \to G \to 0 \tag{4}$$

and

$$0 \leftarrow T^* \leftarrow \widetilde{G}^* \leftarrow G^* \leftarrow 0 \tag{5}$$

where  $G^* = \text{Hom}(G, \mathbb{C}^*)$ , etc. In fact, for each surface that we consider, these exact sequences are split (although see sec. 6 for a slightly more tricky example), so that

$$\widetilde{G} = G \oplus T, \ \widetilde{G}^* = G^* \oplus T^*.$$
 (6)

Let  $\overline{\Gamma}$  be a reduced irreducible component of the branch locus  $\Delta$  of an abelian cover  $\varphi \colon X \to Y$  and suppose the inertia group of  $\overline{\Gamma}$  is cyclic of order n. Then

**Definition 2.1** (see also [2]) The reduced pullback  $\Gamma$  of  $\overline{\Gamma}$  is the (integral) divisor  $\Gamma = \frac{1}{n}\varphi^*(\overline{\Gamma})$  on X.

**Remark 2.1** The reduced pullback extends to arbitrary linear combinations  $\sum_i k_i \Delta_i$  in the obvious way. We use a bar to denote divisors on Y and remove the bar when taking the reduced pullback. In other situations, it is convenient to use  $D_i$  to denote the reduced pullback of a branch divisor  $\Delta_i$ .

The remainder of this section is dedicated to calculating the pushforward  $\varphi_*(L \otimes \tau)$ , where  $L = \mathcal{O}_X(\sum_i k_i D_i)$  is the line bundle associated to the reduced pullback of  $\sum_i k_i \Delta_i$ , and  $\tau$  is any torsion line bundle on X. We do this by exploiting the association of the free part L with  $\varphi: X \to Y$ , and the torsion part  $\tau$  with  $\pi: A \to X$ . The formulae that we obtain are a natural extension of results in [39]. It may be helpful to skip ahead to Examples 2.2.1 and 2.4.1 before reading this section in detail.

## 2.2 Free case

Until further notice, we write  $\overline{\Gamma} \subset Y$  for an irreducible component of the branch divisor  $\Delta$  of  $\varphi \colon X \to Y$ . By Pardini [39], the inertia group  $H \subset G$  of  $\overline{\Gamma}$  is cyclic, and H is generated by  $\Phi(\overline{\Gamma})$  of order n. Let  $\Gamma \subset X$  be the reduced pullback of  $\overline{\Gamma}$ , so that  $n\Gamma = \varphi^*(\overline{\Gamma})$ . We start with cyclic covers.

**Lemma 2.1** Let  $\alpha: X \to Y$  be a cyclic cover with group  $H \cong \mathbb{Z}/n$ , and suppose that  $\overline{\Gamma}$  is an irreducible reduced component of the branch divisor. Let  $\Gamma$  be the reduced pullback of  $\overline{\Gamma}$ , and suppose  $0 \le k \le n-1$ . Then

$$\alpha_*\mathcal{O}_X(k\Gamma) = \bigoplus_{i \in H^*-S} \mathcal{M}_i^{-1} \oplus \bigoplus_{i \in S} \mathcal{M}_i^{-1}(\overline{\Gamma}),$$

where  $\mathcal{M}_i$  is the character sheaf associated to  $\alpha$  with character  $i \in H^*$ , and

$$S = \{n - k, \dots, n - 1\} \subset H^* \cong \mathbb{Z}/n.$$

**Remark 2.2** If k is a multiple of n, say k = pn, the projection formula gives

$$\alpha_*\mathcal{O}_X(k\Gamma) = \alpha_*(\alpha^*\mathcal{O}_Y(p\overline{\Gamma})) = \alpha_*\mathcal{O}_X \otimes \mathcal{O}_Y(p\overline{\Gamma}) = \bigoplus_{i \in H^*} \mathcal{M}_i^{-1}(p\overline{\Gamma}).$$

Thus the lemma extends to any integer multiple of  $\Gamma$ .

**Proof** After removing a finite number of points from  $\overline{\Gamma}$ , we may choose a neighbourhood U of  $\overline{\Gamma}$  such that U does not intersect any other irreducible components of  $\Delta$ . Then since X and Y are normal we may calculate  $\alpha_* \mathcal{O}_X(k\Gamma)$  locally on  $\alpha^{-1}(U)$  and U. In what follows, we do not distinguish U (respectively  $\alpha^{-1}(U)$ ) from Y (resp. X).

Let  $g = \Phi(\overline{\Gamma})$  so that  $H = \langle g \rangle \cong \mathbb{Z}/n$ , and identify  $H^*$  with  $\mathbb{Z}/n$  via  $g^* = 1$ . Locally, write  $\alpha \colon \alpha^{-1}(U) \to U$  as  $z^n = b$  where b = 0 defines  $\overline{\Gamma}$  in U. Then

$$\alpha_*\mathcal{O}_X = \bigoplus_{i=0}^{n-1} \mathcal{O}_Y z^i = \bigoplus_{i=0}^{n-1} \mathcal{O}_Y(-\frac{i}{n}\overline{\Gamma}) = \bigoplus_{i=0}^{n-1} \mathcal{M}_i^{-1},$$

where the last equality is given by (3). Thus  $\alpha_* \mathcal{O}_X$  is generated by  $1, z, \ldots, z^{n-1}$  as an  $\mathcal{O}_Y$ -module, and the  $\mathcal{O}_Y$ -algebra structure on  $\alpha_* \mathcal{O}_X$  is induced by the equation  $z^n = b$ .

The calculation for  $\mathcal{O}_X(k\Gamma)$  is similar,

$$\alpha_*\mathcal{O}_X(k\Gamma) = \alpha_*\mathcal{O}_X\frac{1}{z^k} = \bigoplus_{i=-k}^{n-k-1}\mathcal{O}_Yz^i = \bigoplus_{i=0}^{n-k-1}\mathcal{O}_Yz^i \oplus \bigoplus_{i=-k}^{-1}\mathcal{O}_Y\frac{z^{n+i}}{b}$$

where we use  $z^n = b$  to remove negative powers of z. Thus

$$\alpha_* \mathcal{O}_X(k\Gamma) = \bigoplus_{i=0}^{n-k-1} \mathcal{O}_Y(-\frac{i}{n}\overline{\Gamma}) \oplus \bigoplus_{i=n-k}^{n-1} \mathcal{O}_Y(-\frac{i}{n}\overline{\Gamma})(\overline{\Gamma})$$
$$= \bigoplus_{i\in H^*-S} \mathcal{M}_i^{-1} \oplus \bigoplus_{i\in S} \mathcal{M}_i^{-1}(\overline{\Gamma}),$$

where  $S = \{n - k, ..., n - 1\}.$ 

The lemma can be extended to any abelian group using arguments inspired by Pardini [39] sections 2 and 4.

**Proposition 2.1** Let  $\varphi \colon X \to Y$  be an abelian cover with group G, and let  $k = np + \overline{k}$ , where  $0 \leq \overline{k} \leq n-1$ . Then

$$\varphi_*\mathcal{O}_X(k\Gamma) = \bigoplus_{\chi \in G^* - S_{k\overline{\Gamma}}} \mathcal{L}_{\chi}^{-1}(p\overline{\Gamma}) \oplus \bigoplus_{\chi \in S_{k\overline{\Gamma}}} \mathcal{L}_{\chi}^{-1}((p+1)\overline{\Gamma}),$$

where

$$S_{k\overline{\Gamma}} = \{\chi \in G^* : n - \overline{k} \le \chi|_H \le n - 1\}.$$

**Proof** By the projection formula, we only need to consider the case  $k = \overline{k}$  (cf. Remark 2.2). As in the proof of Lemma 2.1, after removing a finite number of points, we may take a neighbourhood U of  $\overline{\Gamma}$  which does not intersect any other components of  $\Delta$ . We work on U and its preimages  $\varphi^{-1}(U)$ ,  $\beta^{-1}(U)$ .

Factor  $\varphi \colon X \to Y$  as

$$X \xrightarrow{\alpha} Z \xrightarrow{\beta} Y,$$

where  $\alpha$  is a cyclic cover ramified over  $\Gamma$  with group  $H = \langle g \rangle \cong \mathbb{Z}/n$ , and  $\beta$  is unramified by our assumptions. As in Lemma 2.1 we denote the character sheaves of  $\alpha$  by  $\mathcal{M}_i$ , and those of the composite map  $\varphi = \beta \circ \alpha$  by  $\mathcal{L}_{\chi}$ . Now

$$\beta_* \mathcal{M}_i = \bigoplus_{\chi \in [i]} \mathcal{L}_{\chi} \tag{7}$$

where the notation [i] means the preimage of i in  $H^*$  under the restriction map res:  $G^* \to H^*$ . That is,

$$[i] = \{ \chi \in G^* : \chi |_H = i \}$$

where d is the order of  $\chi$ . Since  $\beta$  is not ramified we combine Lemma 2.1 and (7) to get

$$\varphi_*\mathcal{O}_X(k\Gamma) = \bigoplus_{\chi \in G^* - S_{k\overline{\Gamma}}} \mathcal{L}_{\chi}^{-1} \oplus \bigoplus_{\chi \in S_{k\overline{\Gamma}}} \mathcal{L}_{\chi}^{-1}(\overline{\Gamma})$$

where

 $S_{k\overline{\Gamma}} = \{\chi \in G^* : n-k \le \chi|_H \le n-1\}$ 

is the preimage of  $S = \{n - k, \dots, n - 1\} \subset H^*$  under res:  $G^* \to H^*$ .

### 2.2.1 Example (Campedelli surface)

Let  $\varphi \colon X \to \mathbb{P}^2$  be a  $G = (\mathbb{Z}/2)^3$ -cover branched over seven lines in general position. We label the lines  $\Delta_1, \ldots, \Delta_7$ , and define  $\Phi$  to induce a set-theoretic bijection between  $\{\Delta_i\}$  and  $(\mathbb{Z}/2)^3 - \{0\}$ . We make the definition of  $\Phi$  more precise later (see Ex. 2.4.1). It is well known that X is a surface of general type with  $p_g = 0$ ,  $K^2 = 2$  and  $\pi_1 = (\mathbb{Z}/2)^3$ .

Choose generators  $g_1, g_2, g_3$  for  $(\mathbb{Z}/2)^3$  so that  $\Phi(\Delta_1) = g_1$ . There are eight character sheaves for the cover, which we calculate using formula (3),

$$\mathcal{L}_{(0,0,0)} = \mathcal{O}_{\mathbb{P}^2}, \ \mathcal{L}_{\chi} = \mathcal{O}_{\mathbb{P}^2}(2) \text{ for } \chi \neq (0,0,0).$$

Write  $D_1$  for the reduced pullback of  $\Delta_1$ , so that  $\varphi^*(\Delta_1) = 2D_1$ . Then

$$S_{\Delta_1} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,0,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,1,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,1,0), (1,1,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,1,0), (1,1,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi : \chi|_{\langle g_1 \rangle} = 1\} = \{(1,0,0), (1,1,0), (1,1,1), (1,1,1), (1,1,1)\}, \{\chi,\chi\} = \{\chi\} = \{\chi,\chi\} = \{\chi\} = \{\chi,\chi\} =$$

so that by Proposition 2.1, we have

$$\varphi_*\mathcal{O}_X(D_1) = \mathcal{O}_{\mathbb{P}^2} \oplus 4\mathcal{O}_{\mathbb{P}^2}(-1) \oplus 3\mathcal{O}_{\mathbb{P}^2}(-2)$$

### 2.3 Torsion case

In this section we use the maximal abelian cover A to calculate the pushforward of a torsion line bundle on X. We assume that the composite cover  $A \to X \to Y$  is Galois with group  $\widetilde{G}$ .

**Proposition 2.2** Let  $\tau$  be a torsion line bundle on X. Then

$$\varphi_*\mathcal{O}_X(-\tau) = \bigoplus_{\chi \in G^*} \mathcal{L}_{\chi+\tau}^{-1}.$$

where addition  $\chi + \tau$  takes place in  $\widetilde{G}^* = G^* \oplus T^*$ .

**Remark 2.3** Note that  $\mathcal{L}_{\chi+\tau}$  is a character sheaf for the  $\widetilde{G}$ -cover  $\varphi \colon A \to Y$ , and the proposition allows us to interpret  $\mathcal{L}_{\chi+\tau}$  as a character sheaf for the *G*-cover  $\varphi \colon X \to Y$ . Unfortunately, there is still some ambiguity, because we do not determine which character in  $G^*$  is associated to each  $\mathcal{L}_{\chi+\tau}$  under the splitting of exact sequence (5). On the other hand, the special case  $\tau = 0$  gives

$$\varphi_*\mathcal{O}_X = \bigoplus_{\chi \in G^*} \mathcal{L}_\chi^{-1}.$$

**Proof** The structure sheaf  $\mathcal{O}_A$  decomposes into a direct sum of the torsion line bundles when pushed forward to X

$$\pi_*\mathcal{O}_A = \bigoplus_{\tau \in \operatorname{Tors} X} \mathcal{O}_X(-\tau).$$

Thus  $\mathcal{O}_X(\tau)$  is the character sheaf with character  $\tau$  under the identification  $T^* \cong \text{Tors } X$ . The composite  $\varphi_* \pi_* \mathcal{O}_A$  breaks into character sheaves according to (2), and the image of  $\mathcal{O}_X(-\tau)$  is the direct sum of those character sheaves with character contained in the coset  $G^* + \tau$  of  $\tau$  in  $\tilde{G}^*$  under (6).

#### 2.4 General case

Now we combine Propositions 2.1 and 2.2 to give our formula for pushforward of line bundles  $\mathcal{O}_X(\sum_i D_i) \otimes \tau$ . The formula looks complicated, but most of the difficulty is in the notation.

**Definition 2.2** Let  $n_i$  be the order of  $\Psi(\Delta_i)$  in  $\widetilde{G}$ , and write  $k_i = n_i p_i + \overline{k}_i$ , where  $0 \leq \overline{k}_i \leq n_i - 1$ . Then given any subset  $I \subset \{1, \ldots, m\}$ , we define

$$S_I[\tau] = \bigcap_{i \in I} S_{k_i \Delta_i}[\tau] \cap \bigcap_{j \in I^c} S_{k_j \Delta_j}[\tau]^c,$$

where

$$S_{k\overline{\Gamma}}[\tau] = \{\chi \in G^* \mid n - \overline{k} \leq \frac{n}{d}(\chi + \tau)(\Psi(\overline{\Gamma})) \leq n - 1\}$$

for any reduced irreducible component  $\overline{\Gamma}$  of the branch locus  $\Delta$ . Note that for fixed  $\tau$  in  $T^*$ , the collection of all  $S_I[\tau]$  partitions  $G^*$ .

**Theorem 2.1** Let  $D = \sum_{i=1}^{m} k_i D_i$  be the reduced pullback of the linear combination of branch divisors  $\sum_{i=1}^{m} k_i \Delta_i$  on Y. Then

$$\varphi_*\mathcal{O}_X(D-\tau) = \bigoplus_I \bigoplus_{\chi \in S_I[\tau]} \mathcal{L}_{\chi+\tau}^{-1}(\Delta_I),$$

where I is any subset of  $\{1, \ldots, m\}$  and  $\Delta_I = \sum_{i \in I} \Delta_i$ .

**Remark 2.4** For simplicity, we have assumed that  $k_i = \overline{k}_i$  for all *i* in the statement and proof of the theorem. When this is not the case, by the projection formula (cf. Remark 2.2) we twist by  $\mathcal{O}_Y(\sum_{i=1}^m p_i \Delta_i)$ .

**Proof** Fix *i* and let  $D_i$  be the reduced pullback of an irreducible component  $\Delta_i$  of the branch divisor. Choose a neighbourhood of  $\Delta_i$  which does not intersect any other  $\Delta_j$ . This may also require us to remove a finite number of points from  $D_i$ . We work locally in this neighbourhood and its preimages under  $\varphi$ ,  $\pi$ .

Now by the projection formula,

$$\pi_*\pi^*\mathcal{O}_X(k_iD_i) = \pi_*\mathcal{O}_A \otimes \mathcal{O}_X(k_iD_i),$$

and thus

$$\psi_* \pi^* \mathcal{O}_X(k_i D_i) = \bigoplus_{\tau \in \operatorname{Tors} X} \varphi_* \mathcal{O}_X(k_i D_i - \tau).$$

Then we combine Propositions 2.1 and 2.2 to obtain

$$\varphi_*\mathcal{O}_X(k_iD_i-\tau) = \bigoplus_{\chi \in G^*-S_{k_i\Delta_i}[\tau]} \mathcal{L}_{\chi+\tau}^{-1} \oplus \bigoplus_{\chi \in S_{k_i\Delta_i}[\tau]} \mathcal{L}_{\chi+\tau}^{-1}(\Delta_i),$$

where the indexing is explained in Definition 2.2.

To extend to the global setting and linear combinations  $\sum k_i D_i$ , we just need to keep track of which components of  $\Delta$  should appear as a twist of each  $\mathcal{L}_{\chi+\tau}^{-1}$  in the direct sum. This book-keeping is precisely the purpose of Definition 2.2.

Using the formula

$$K_X = \varphi^* \left( K_Y + \sum_i \frac{n_i - 1}{n_i} \Delta_i \right) \tag{8}$$

and the Theorem, we give an alternative proof of the decomposition of  $\varphi_*\mathcal{O}_X(K_X)$ . Corollary 2.1 [39, Proposition 4.1] We have

$$\varphi_*\mathcal{O}_X(K_X) = \bigoplus_{\chi \in G^*} \mathcal{L}_{\chi^{-1}}(K_Y).$$

**Proof** Let  $D_i$  be the reduced pullback of  $\Delta_i$ . Then by (8) and the projection formula, we have

$$\varphi_*(\mathcal{O}_X(K_X)) = \varphi_*\left(\varphi^*\mathcal{O}_Y(K_Y) \otimes \mathcal{O}_X\left(\sum_i (n_i - 1)D_i\right)\right)$$
$$= \mathcal{O}_Y(K_Y) \otimes \varphi_*\mathcal{O}_X\left(\sum_i (n_i - 1)D_i\right).$$

Now by definition,

$$S_{(n_i-1)\Delta_i} = \{ \chi \in G^* : 1 \le \frac{n_i}{d} \chi(\Phi(\Delta_i)) \le n_i - 1 \} = \{ \chi \in G^* : \chi(\Phi(\Delta_i)) \neq 0 \}.$$

Thus in the decomposition of  $\varphi_* \mathcal{O}_X \left( \sum_i (n_i - 1) D_i \right)$  given by Theorem 2.1, the summand  $\mathcal{L}_{\chi}^{-1}$  is twisted by  $\sum_{j \in J} \Delta_j$ , where J is the set of indices j with  $\chi(\Phi(\Delta_j)) \neq 0$ . Then by (3),

$$\mathcal{L}_{\chi}^{-1}\left(\sum_{i\in J}\Delta_{i}\right) = \sum_{i}(1-\frac{1}{d})\chi(\Phi(\Delta_{i}))\Delta_{i} = \mathcal{L}_{\chi^{-1}},$$

where the last equality is because  $\chi^{-1}(g) = -\chi(g) = d - \chi(g)$  for any g in G. Thus we obtain

$$\varphi_*\left(\mathcal{O}_X\left(\sum_i (n_i-1)D_i\right)\right) = \bigoplus_{\chi \in G^*} \mathcal{L}_{\chi^{-1}},$$

and the Corollary follows.

#### 2.4.1 Example 2.2.1 continued

We resume our discussion of the Campedelli surface. The fundamental group of X is  $(\mathbb{Z}/2)^3$ , and so the maximal abelian cover  $\pi: A \to X$  is a  $(\mathbb{Z}/2)^6$ -cover  $\psi: A \to \mathbb{P}^2$  branched over  $\Delta$ . Choose generators  $g_1, \ldots, g_6$  of  $(\mathbb{Z}/2)^6$ . As promised in Ex. 2.2.1, we now fix  $\Phi$  and  $\Psi$ :

							$\Delta_7$
	$g_1$	$g_2$	$g_3$	$g_1 + g_2$	$g_1 + g_3$	$g_2 + g_3$	$g_1 + g_2 + g_3$
$\Psi(\Delta_i) - \Phi(\Delta_i)$	0	0	0	$g_4$	$g_5$	$g_6$	$g_4 + g_5 + g_6$

For clarity, the table displays the difference between  $\Psi(\Delta_i)$  and  $\Phi(\Delta_i)$ . In order that A be the maximal abelian cover,  $\Psi$  is defined so that each  $\Psi(\Delta_i)$  generates a distinct summand of  $(\mathbb{Z}/2)^6$ , excepting  $\Psi(\Delta_7)$ , which is chosen so that  $\sum_i \Psi(\Delta_i) = 0$ . This last equality is induced by the relation  $\sum_i \Delta_i = 0$  in  $H_1(\mathbb{P}^2 - \Delta, \mathbb{Z})$ .

The torsion group Tors X is generated by  $g_4^*$ ,  $g_5^*$ ,  $g_6^*$ . As an illustration of Theorem 2.1, we calculate  $\varphi_* \mathcal{O}_X(D_1) \otimes \tau$ , where  $\tau$  is the torsion line bundle on X associated to  $g_4^*$ .

Suppose  $\varphi_*\mathcal{O}_X(D_1) \otimes \tau = \bigoplus_{\chi \in G^*} \mathcal{M}_{\chi}$ , where  $\mathcal{M}_{\chi}$  are the line bundles to be calculated. In the table below, we collect the data relevant to Theorem 2.1.

$\chi$	$\mathcal{L}_{\chi+ au}^{-1}$	$(\chi + \tau) \circ \Psi(D_1)$	Twist by $\Delta_1$ ?	$\mathcal{M}_{\chi}$
(0, 0, 0)	$\mathcal{O}_{\mathbb{P}^2}(-1)$	0	No	$\mathcal{O}_{\mathbb{P}^2}(-1)$
(1, 0, 0)	$\mathcal{O}_{\mathbb{P}^2}(-1)$	1	Yes	$\mathcal{O}_{\mathbb{P}^2}$
(0,1,0)	$\mathcal{O}_{\mathbb{P}^2}(-1)$	0	No	$\mathcal{O}_{\mathbb{P}^2}(-1)$
(0, 0, 1)	$\mathcal{O}_{\mathbb{P}^2}(-2)$	0	No	$\mathcal{O}_{\mathbb{P}^2}(-2)$
(1, 1, 0)	$\mathcal{O}_{\mathbb{P}^2}(-3)$	1	Yes	$\mathcal{O}_{\mathbb{P}^2}(-2)$
(1, 0, 1)	$\mathcal{O}_{\mathbb{P}^2}(-2)$	1	Yes	$\mathcal{O}_{\mathbb{P}^2}(-1)$
(0, 1, 1)	$\mathcal{O}_{\mathbb{P}^2}(-2)$	0	No	$\mathcal{O}_{\mathbb{P}^2}(-2)$
(1, 1, 1)	$\mathcal{O}_{\mathbb{P}^2}(-2)$	1	Yes	$\mathcal{O}_{\mathbb{P}^2}(-1)$

Summing the last column of the table, we get

$$\varphi_*\mathcal{O}_X(D_1)\otimes\tau=\mathcal{O}_{\mathbb{P}^2}\oplus 4\mathcal{O}_{\mathbb{P}^2}(-1)\oplus 3\mathcal{O}_{\mathbb{P}^2}(-2).$$

In particular, we see that the linear system on X associated to the line bundle  $\mathcal{O}_X(D_1) \otimes \tau$  contains a single effective divisor.

# **3** Exceptional collections on surfaces with $p_g = 0$

# 3.1 Overview and definitions

We outline our method for producing exceptional collections, starting with some definitions and fundamental observations.

**Definition 3.1** An object E in  $D^b(X)$  is called exceptional if

$$\operatorname{Ext}^{k}(E, E) = \begin{cases} \mathbb{C} & \text{if } k = 0, \\ 0 & \text{otherwise.} \end{cases}$$

An exceptional collection  $\mathbb{E} \subset D^b(X)$  is a sequence of exceptional objects  $\mathbb{E} = (E_0, \ldots, E_n)$ such that if  $0 \leq i < j \leq n$  then

$$\operatorname{Ext}^{k}(E_{j}, E_{i}) = 0$$
 for all k.

It follows from Def. 3.1 that any line bundle on a surface with  $p_g = q = 0$  is exceptional. Moreover, if  $\mathbb{E}$  is an exceptional collection of line bundles, and L is any line bundle, then  $\mathbb{E} \otimes L = (E_0 \otimes L, \ldots, E_n \otimes L)$  is again an exceptional collection, so we always renormalise  $\mathbb{E}$  so that  $E_0 = \mathcal{O}_X$ . Let  $\mathcal{E} = \langle \mathbb{E} \rangle$  denote the smallest full triangulated subcategory of  $D^b(X)$  containing all objects in  $\mathbb{E}$ . Then  $\mathcal{E}$  is an admissible subcategory of  $D^b(X)$ , and so we have a *semiorthogonal decomposition* 

$$D^b(X) = \langle \mathcal{E}, \mathcal{A} \rangle,$$

where  $\mathcal{A}$  is the left orthogonal to  $\mathcal{E}$ . That is,  $\mathcal{A}$  consists of all objects F in  $D^b(X)$  such that  $\operatorname{Ext}^k(F, E) = 0$  for all k and for all E in  $\mathcal{E}$ . The K-theory is additive across semiorthogonal decompositions:

**Proposition 3.1** If  $D^b(X) = \langle \mathcal{A}, \mathcal{B} \rangle$  is a semiorthogonal decomposition, then

$$K_0(X) = K_0(\mathcal{A}) \oplus K_0(\mathcal{B}).$$

Moreover, if  $\mathbb{E}$  is an exceptional collection of length n, then  $K_0(\mathcal{E}) = \mathbb{Z}^n$ . Thus if  $K_0(X)$  is not free, then X can never have a full exceptional collection. The maximal length of an exceptional collection on X is less than or equal to the rank of K(X).

#### 3.1.1 Exceptional collections on del Pezzo surfaces

Let Y be the blow up of  $\mathbb{P}^2$  in n points, and write H for the pullback of the hyperplane section,  $\overline{E}_i$  for the *i*th exceptional curve. Then by work of Kuleshov and Orlov [38], [30] there is an exceptional collection of sheaves on Y

$$\mathcal{O}_{\overline{E}_1}(-1),\ldots,\mathcal{O}_{\overline{E}_n}(-1),\mathcal{O}_Y,\mathcal{O}_Y(H),\mathcal{O}_Y(2H)$$

Note that the blown up points do not need to be in general position, and can even be infinitely near. We prefer an exceptional collection of line bundles on Y, so we mutate past  $\mathcal{O}_Y$  to get

$$\mathcal{O}_Y, \ \mathcal{O}_Y(\overline{E}_1), \dots, \mathcal{O}_Y(\overline{E}_n), \ \mathcal{O}_Y(H), \ \mathcal{O}_Y(2H).$$
 (9)

In fact, we only use the numerical properties of a given exceptional collection of line bundles on Y. Choose a basis  $e_0, \ldots, e_n$  for the lattice  $\operatorname{Pic} Y \cong \mathbb{Z}^{1,n}$  with intersection form  $\operatorname{diag}(1, -1^n)$ . Then we write equation (9) numerically as

$$0, e_1, \ldots, e_n, e_0, 2e_0$$

#### 3.1.2 From del Pezzo to general type

Let X be a surface of general type with  $p_g = 0$  which admits an abelian cover  $\varphi \colon X \to Y$ of a del Pezzo surface Y with  $K_Y^2 = K_X^2$ . In addition, we suppose the maximal abelian cover  $A \to X \to Y$  is also Galois. The branch divisor is  $\Delta = \sum_i \Delta_i$  and we assume that  $\Delta$ is sufficiently reducible so that

(A1) Pic Y is generated by integral linear combinations of  $\Delta_i$ .

Now the Picard lattices of X and Y are isomorphic. Thus if G is not too complicated, e.g. of the form  $\mathbb{Z}/p \times \mathbb{Z}/q$ , we might hope to have:

(A2) The reduced pullbacks  $D_i$  of  $\Delta_i$  (see Def. 2.1) generate Pic X/Tors X.

In very good cases, reduced pullback actually induces an isometry of lattices

(A3)  $f: \operatorname{Pic} Y \to \operatorname{Pic} X/\operatorname{Tors} X$ , such that  $f(K_Y) = -K_X$  modulo  $\operatorname{Tors} X$ .

We say that a surface satisfies assumption (A) if (A1), (A2) and (A3) hold. These conditions are quite strong, and are not strictly necessary for our methods. For example, we can replace (A3) with an isometry of lattices from the abstract lattice  $\mathbb{Z}^{1,n}$  to Pic X/Tors X. See Section 6 for such an example.

**Definition 3.2** A sequence  $\mathbb{E} = (E_0, \ldots, E_n)$  of line bundles on X is called numerically exceptional if  $\chi(E_j, E_i) = 0$  whenever  $0 \le i < j \le n$ .

Assume X satisfies (A), and let  $(\Lambda_i) = (\Lambda_0, \ldots, \Lambda_n)$  be an exceptional collection on Y. Now define  $(L_i) = (L_0, \ldots, L_n)$  by  $L_i = f(\Lambda_i)^{-1}$ . A calculation with the Riemann-Roch formula shows that  $(L_i)$  is a numerically exceptional collection on X. This is explained in [2].

Given a numerically exceptional collection  $(L_i)$  of line bundles on X, the remaining obstacle is to determine whether  $(L_i)$  is genuinely exceptional rather than just numerically so. Indeed, most numerically exceptional collections on X are not exceptional. The standard trick (see [12]) is to choose torsion line bundles  $\tau_i$  in such a way that the twisted sequence  $(L_i \otimes \tau_i)$  is an exceptional collection. We examine these choices of  $\tau_i$  more carefully in what follows.

### 3.1.3 Acyclic line bundles

We discuss acyclic line bundles following [23].

**Definition 3.3** Let L be a line bundle on X. If  $H^i(X, L) = 0$  for all i, then we call L an acyclic line bundle. We define the acyclic set associated to L to be

$$\mathcal{A}(L) = \left\{ \tau \in \operatorname{Tors} X \mid h^{i}(L(\tau)) = 0 \text{ for all } 0 \le i \le 2 \right\}.$$

We call L numerically acyclic if  $\chi(X, L) = 0$ . Clearly, an acyclic line bundle must be numerically acyclic.

**Remark 3.1** In the notation of [23],  $\tau = -\chi$ .

**Lemma 3.1** [23, Lemma 3.4] A numerically exceptional collection  $L_0 = \mathcal{O}_X, L_1(\tau_1), \ldots, L_n(\tau_n)$  on X is exceptional if and only if

$$-\tau_i \in \mathcal{A}(L_i^{-1}) \text{ for all } i, \text{ and}$$
  
$$\tau_i - \tau_j \in \mathcal{A}(L_i^{-1} \otimes L_i) \text{ for all } j > i.$$
(10)

Thus to enumerate all exceptional collections on X of a particular numerical type, it suffices to calculate the relevant acyclic sets, and systematically test the above conditions (10) on all possible combinations of  $\tau_i$ .

#### 3.1.4 Calculating cohomology of line bundles

Given a torsion twist  $L(\tau)$ , Theorem 2.1 gives a decomposition

$$\varphi_*L(\tau) = \bigoplus_{\chi \in G^*} \mathcal{M}_{\chi},$$

for some line bundles  $\mathcal{M}_{\chi}$  on Y, which may be computed explicitly. Since  $\varphi$  is finite, we have

$$h^p(L(\tau)) = \sum_{\chi \in G^*} h^p(\mathcal{M}_{\chi})$$

for all p.

Thus  $L(\tau)$  is acyclic if and only if each summand  $\mathcal{M}_{\chi}$  is acyclic on Y. Now if  $\chi(Y, \mathcal{M}_{\chi}) = 0$  and  $h^0(\mathcal{M}_{\chi}) = h^2(\mathcal{M}_{\chi}) = 0$ , we see that  $h^1(\mathcal{M}_{\chi}) = 0$ . Thus by Serre duality and the Riemann–Roch theorem, we are reduced to calculating Euler characteristics and determining effectivity for (lots of) divisor classes on the del Pezzo surface Y.

#### **3.1.5** Coordinates on $\operatorname{Pic} X/\operatorname{Tors} X$

Under assumption (A), we make the following definition.

**Definition 3.4** Choose a basis  $B_1, \ldots, B_n$  for  $\operatorname{Pic} X/\operatorname{Tors} X$  consisting of linear combinations of reduced pullbacks. Then any line bundle L on X may be written uniquely as

$$L = \mathcal{O}_X(d_1, \dots, d_n)(\tau)$$

so that  $L = \mathcal{O}_X(\sum_{i=1}^n d_i B_i)(\tau)$ . We call d (respectively  $\tau$ ) the multidegree (resp. torsion twist) of L with respect to the chosen basis.

The torsion twist associated to any line bundle on X may be calculated using Theorem 2.1 and the following lemma. See Lemma 3.4 for an example.

**Lemma 3.2** If  $\tau$  is a torsion line bundle, then  $h^0(\tau) \neq 0$  implies  $\tau = 0$ .

**Remark 3.2** Definition 3.4 fixes a basis for  $\operatorname{Pic} Y = \mathbb{Z}^{1,9-K^2}$  via the isometry with  $\operatorname{Pic} X/\operatorname{Tors} X$ . This basis corresponds to a geometric marking on the del Pezzo surface Y, and the multidegree d of L is just the image of L in  $\operatorname{Pic} Y$  under the isometry. In fixing our basis, we break some of the symmetry of the coordinates. This is necessary in order to use the computer to search for exceptional collections. We can recover the symmetry later using the Weyl group action (see section 3.1.7).

#### 3.1.6 Determining effectivity of divisor classes

**Method 1** If Y is  $\mathbb{P}^2$ ,  $\mathbb{P}^1 \times \mathbb{P}^1$  or  $\mathbb{F}_1$  and D is a divisor on Y, it is not difficult to write an algorithm which determines whether D is effective or not, using only the (bi)degree of D. As  $(-K_Y)^2$  decreases, the question gets more complicated. Our computer scripts [19] produce a list of a few thousand "small" positive integral linear combinations of (-1)-curves. Since the effective cone of a del Pezzo surface of degree  $\leq 7$  is polyhedral with extremal rays generated by the (-1)-curves (cf. [21], Chapter 8), this list serves as a criterion for checking effectivity of line bundles on X (see Sec. 3.1.4). Weak del Pezzo surfaces are treated in a similar way.

Method 2 An alternative approach is to try to characterise effective divisors on the surface X of general type (see Remark 3.3 and [1]). Let  $\mathfrak{E}$  denote the semigroup generated by the reduced pullbacks  $D_i$  of the irreducible branch components  $\Delta_i$ , and pullbacks of the other (-1)-curves on Y. Then  $\mathfrak{E}$  approximates the semigroup of all effective divisors on X (the two are proven to be equal in some cases, cf. [1] and App. C). Moreover,  $\mathfrak{E}$  is graded by multidegree, and we have a homomorphism  $\mathfrak{E} \to \text{Tors } X$  sending  $D_i$  to its torsion twist under Def. 3.4. The image of the graded summand  $\mathfrak{E}_d$  of multidegree d approximates the set of torsion twists  $\tau$  for which  $\mathcal{O}_X(\sum d_i B_i)(\tau)$  is effective. We have implemented this method for various surfaces, and checked that the output is consistent with that of Method 1 above.

See the proofs of Proposition 3.2 below for a comparison of the two methods described above, and also Appendix C.

#### 3.1.7 Group actions on the set of exceptional collections

We consider a dihedral group action and the Weyl group action on the set of exceptional collections on X. Mutations are not considered systematically in this article, since a mutation of a line bundle need not be a line bundle.

Let  $\mathbb{E} = (E_1, \ldots, E_n)$  be an exceptional collection of line bundles on X. Then the sequence  $(E_2, \ldots, E_n, E_1(-K_X))$  is also an exceptional collection, and if we renormalise the first line bundle of any exceptional collection to be  $\mathcal{O}_X$ , then this operation has order n.

There is also an involution on the set of exceptional collections of line bundles, which sends  $\mathbb{E} = (E_1, \ldots, E_n)$  to  $\mathbb{E}^{-1} = (E_n^{-1}, \ldots, E_1^{-1})$ . Clearly,  $\mathbb{E}^{-1}$  is an exceptional collection, and the two operations described generate a dihedral group action on the set of exceptional collections of length n.

The Weyl group of Pic Y is generated by reflections in (-2)-classes. That is, suppose  $\alpha$  is a class in Pic Y with  $K_Y \cdot \alpha = 0$  and  $\alpha^2 = -2$ . Then

$$r_{\alpha} \colon L \mapsto L + (L \cdot \alpha) \alpha$$

is a reflection on Pic Y which fixes  $K_Y$ . Any reflection sends an exceptional collection on Y to another exceptional collection. Thus by assumption (A), the Weyl group acts on *numerically* exceptional collections on X. This action accounts for the choices made in giving Y a geometric marking (see Def. 3.4).

# **3.2** The Kulikov surface with $K^2 = 6$

For details on the Kulikov surface (first described in [31]), its torsion group and moduli space, see [18]. The Kulikov surface X is a  $(\mathbb{Z}/3)^2$ -cover of the del Pezzo surface Y of degree 6. Figure 1 shows the associated cover of  $\mathbb{P}^2$  branched over six lines in special position. The configuration has just one free parameter, and in fact, the Kulikov surfaces form a 1-dimensional, irreducible, connected component of the moduli space of surfaces of general type with  $p_g = 0$  and  $K^2 = 6$ .

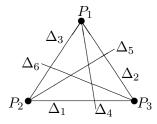


Figure 1: The Kulikov configuration

To obtain a nonsingular cover, we blow up the plane at three points  $P_1, P_2, P_3$ , giving a  $(\mathbb{Z}/3)^2$ -cover of a del Pezzo surface of degree 6. The exceptional curves are denoted  $\overline{E}_i$ . By results of [18], the torsion group  $\operatorname{Tors}(X)$  is isomorphic to  $(\mathbb{Z}/3)^3$ , so the maximal abelian cover  $\psi: A \to Y$  has group  $\widetilde{G} \cong (\mathbb{Z}/3)^5$ . Let  $g_i$  generate  $\widetilde{G}$ , and write  $g_i^*$  for the dual generators of  $\widetilde{G}^*$ . As explained in Section 2, the covers are determined by  $\Phi: H_1(\mathbb{P}^2 - \Delta, \mathbb{Z}) \to G$  and  $\Psi: H_1(\mathbb{P}^2 - \Delta, \mathbb{Z}) \to \widetilde{G}$ , which are defined in the table below.

D	$\Delta_1$	$\Delta_2$	$\Delta_3$	$\Delta_4$	$\Delta_5$	$\Delta_6$
$\Phi(D)$ $\Psi(D) - \Phi(D)$	$g_1$	$g_1$	$g_1$	$g_2$	$g_1 + g_2$	$2g_1 + g_2$
$\Psi(D) - \Phi(D)$	0	$g_3$	$2g_3 + g_4$	$2g_4$	$g_5$	$2g_5$

The images of the exceptional curves  $\overline{E}_i$  under  $\Phi$  and  $\Psi$  are computed using formula (1):

$$\Phi(\overline{E}_1) = 2g_1 + g_2, \ \Phi(\overline{E}_2) = g_2, \ \Phi(\overline{E}_3) = g_1 + g_2, \ \text{etc.}$$

**Lemma 3.3** The Kulikov surface satisfies assumptions (A1) and (A2). That is, the free part of Pic X is generated by the reduced pullbacks of  $\Delta_1 + \overline{E}_2 + \overline{E}_3$ ,  $\overline{E}_1$ ,  $\overline{E}_2$ ,  $\overline{E}_3$ , and the intersection pairing diag(1, -1, -1, -1) is inherited from Y.

**Proof** Define  $e_0 = D_1 + E_2 + E_3$ ,  $e_1 = E_1$ ,  $e_2 = E_2$ ,  $e_3 = E_3$  in Pic X. These are integral divisors, since they are reduced pullbacks, and the intersection pairing is diag(1, -1, -1, -1), which is unimodular. For example, by definition of reduced pullback,  $3e_0 = \varphi^*(\Delta_1 + \overline{E}_2 + \overline{E}_3)$ , and so

$$(3e_0)^2 = \varphi^* (\Delta_1 + \overline{E}_2 + \overline{E}_3)^2 = 9 \cdot 1,$$

or  $e_0^2 = 1$ . Hence we have an isomorphism of lattices.

Using the basis chosen in this lemma, we compute the coordinates (Def. 3.4) of the reduced pullback  $D_i$  of each irreducible branch component  $\Delta_i$ .

Lemma 3.4 We have

$$\begin{aligned} \mathcal{O}_X(D_1) &= \mathcal{O}_X(1,0,-1,-1), & \mathcal{O}_X(D_4) &= \mathcal{O}_X(1,-1,0,0)[2,1,2], \\ \mathcal{O}_X(D_2) &= \mathcal{O}_X(1,-1,0,-1)[1,0,2], & \mathcal{O}_X(D_5) &= \mathcal{O}_X(1,0,-1,0)[2,1,0], \\ \mathcal{O}_X(D_3) &= \mathcal{O}_X(1,-1,-1,0)[2,0,2], & \mathcal{O}_X(D_6) &= \mathcal{O}_X(1,0,0,-1)[2,1,1], \end{aligned}$$

where [a, b, c] in  $(\mathbb{Z}/3)^3$  denotes a torsion line bundle on X.

**Proof** We prove that  $\mathcal{O}_X(D_2) = \mathcal{O}_X(1, -1, 0, -1)[1, 0, 2]$ . The other cases are similar. It is clear that  $\Delta_2 \sim \Delta_1 - \overline{E}_1 + \overline{E}_2$  on Y, so the multidegree is correct. It remains to check the torsion twist, by showing that  $\mathcal{F} = \mathcal{O}_X(D_2 - D_1 + E_1 - E_2 - \tau)$  has a global section when  $\tau = [1, 0, 2]$ . Then by Lemma 3.2, we have the desired equality.

The pushforward  $\varphi_* \mathcal{F}$  splits into a direct sum of line bundles  $\bigoplus \mathcal{M}_{\chi}$ , one for each character  $\chi = (a, b)$  in  $G^*$ . The following table collects the data required to calculate each  $\mathcal{M}_{\chi}$  via Theorem 2.1. The second column is calculated using equation (3), and the next four columns evaluate  $\chi + \tau$  on each  $\Psi(\Gamma)$ , where  $\Gamma$  is any one of  $\Delta_1, \Delta_2, \overline{E}_1$  and  $\overline{E}_2$ . The final column is explained below.

		( <i>χ</i>	$(+\tau)$	$\circ  \Psi($	Γ)	
χ	$\mathcal{L}_{\chi+ au}^{-1}$	$\Delta_1$	$\Delta_2$	$\overline{E}_1$	$\overline{E}_2$	$\mathcal{M}_\chi$
(0, 0)	$\mathcal{O}_Y(-2,1,1,0)$	0	1	0	1	$\mathcal{O}_Y(-3,1,2,1)$
(1, 0)	$\mathcal{O}_Y(-1,0,0,1)$	1	2	2	1	$\mathcal{O}_Y$
(0, 1)	$\mathcal{O}_Y(-2,1,0,1)$	0	1	1	2	$\mathcal{O}_Y(-3,1,1,2)$
(2, 0)	$\mathcal{O}_Y(-2,0,1,1)$	2	0	1	1	$\mathcal{O}_Y(-2,0,1,1)$
(1, 1)	$\mathcal{O}_Y(-2,1,0,1)$	1	2	0	2	$\mathcal{O}_Y(-1,0,0,0)$
(0,2)	$\mathcal{O}_Y(-2,1,1,0)$	0	1	2	0	$\mathcal{O}_Y(-3,2,1,1)$
(2, 1)	$\mathcal{O}_Y(-2,0,1,0)$	2	0	2	2	$\mathcal{O}_Y(-2,1,1,0)$
(1, 2)	$\mathcal{O}_Y(-3,1,1,1)$	1	2	1	0	$\mathcal{O}_Y(-2,0,0,0)$
(2, 2)	$\mathcal{O}_Y(-2,1,1,1)$	2	0	0	0	$\mathcal{O}_Y(-2,1,0,1)$

Now by the projection formula (cf. Remark 2.2),

$$\varphi_*\mathcal{F} = \varphi_*\mathcal{O}_X(2D_1 + D_2 + E_1 + 2E_2 - \tau) \otimes \mathcal{O}_Y(-\Delta_1 - \overline{E}_2).$$

So according to Thm. 2.1 and the remark following it, each  $\mathcal{M}_{\chi}$  is a twist of  $\mathcal{L}_{\chi+\tau}^{-1}(-\Delta_1-\overline{E}_2)$  by a certain combination of  $\Delta_1$ ,  $\Delta_2$ ,  $\overline{E}_1$  and  $\overline{E}_2$ . By Def. 2.2, the rules governing the twists are:

twist by  $\Delta_1$  iff  $(\chi + \tau) \circ \Psi(\Delta_1) = 1$  or 2 twist by  $\Delta_2$  iff  $(\chi + \tau) \circ \Psi(\Delta_2) = 2$ twist by  $\overline{E}_1$  iff  $(\chi + \tau) \circ \Psi(\overline{E}_1) = 2$ twist by  $\overline{E}_2$  iff  $(\chi + \tau) \circ \Psi(\overline{E}_2) = 1$  or 2.

Thus  $\varphi_* \mathcal{F}$  is given by the direct sum of the line bundles  $\mathcal{M}_{\chi}$  listed in the final column. Note that  $\mathcal{M}_{(1,0)} = \mathcal{O}_Y$ , so  $h^0(\varphi_* \mathcal{F}) = 1$ . Hence  $D_2 - D_1 + E_1 - E_2 - \tau \sim 0$ .

Corollary 3.1 By formula (8), we have

$$\mathcal{O}_X(K_X) = \mathcal{O}_X(3, -1, -1, -1)[0, 0, 2].$$

Thus the Kulikov surface satisfies (A3).

**Proof** The multidegree is clear by (8), but the torsion twist requires some care. Since  $\mathcal{O}_X(K_X)$  is the pullback of an integral divisor on X, it should be torsion-neutral with respect to our coordinate system on Pic X. Thus by Lemma 3.4, we see that the required twist is [0, 0, 2].

**Remark 3.3** It is likely that every effective divisor on the Kulikov surface is a positive integral linear combination of

$$D_1,\ldots,D_6,E_1,E_2,E_3.$$

See Section 3.1.6 for some discussion of this, App. C for analogous results on two Beauville surfaces with  $K^2 = 8$ , and [1] for the primary Burniat surface with  $K^2 = 6$ .

#### 3.2.1 Acyclic line bundles on the Kulikov surface

Let us start with the following numerical exceptional collection on Y, which has 3-block structure according to [26]:

$$\Lambda: 0, \ e_0 - e_1, \ e_0 - e_2, \ e_0 - e_3, \ 2e_0 - \sum_{i=1}^3 e_i, \ e_0.$$

Given assumptions (A), we see that  $\Lambda$  corresponds to the following numerically exceptional sequence of line bundles on X:

$$L_0 = \mathcal{O}_X, \ L_1 = \mathcal{O}_X(-1, 1, 0, 0), \ L_2 = \mathcal{O}_X(-1, 0, 1, 0),$$
  

$$L_3 = \mathcal{O}_X(-1, 0, 0, 1), \ L_4 = \mathcal{O}_X(-2, 1, 1, 1), \ L_5 = \mathcal{O}_X(-1, 0, 0, 0).$$
(11)

We find all collections of torsion twists  $L_i(\tau_i)$  which are exceptional collections on X. The first step is to find the acyclic sets associated to the various  $L_j^{-1} \otimes L_i$ .

**Proposition 3.2** The acyclic sets  $\mathcal{A}(L_j^{-1} \otimes L_i)$  for  $j > i \ge 0$  are listed in Appendix A.

**First Proof** Assuming the assertion of Remark 3.3 is correct, it is an easy exercise to check each entry in the table. As an illustration, we calculate  $\mathcal{A}(L_1^{-1})$ . The effective divisors on X of multidegree (1, -1, 0, 0) are

$$D_2 + E_3, D_3 + E_2, D_4.$$

Thus by Lemma 3.4, [1, 0, 2], [2, 0, 2], [2, 1, 2] do not appear in  $\mathcal{A}(L_1^{-1})$ . Next we consider degree two cohomology via Serre duality. The effective divisors of multidegree (2, 0, -1, -1) are

$$2D_1 + E_2 + E_3, D_1 + D_2 + E_1 + E_3, D_1 + D_3 + E_1 + E_2,$$
  
$$D_2 + D_3 + 2E_1, D_1 + D_4 + E_1, D_1 + D_5 + E_2, D_1 + D_6 + E_3,$$
  
$$D_2 + D_5 + E_1, D_3 + D_6 + E_1, D_5 + D_6.$$

Thus [0, 0, 2], [2, 0, 0], [1, 0, 0], [0, 0, 1], [1, 2, 0], [1, 2, 2], [1, 2, 1], [0, 2, 0], [2, 2, 2], [2, 1, 1] can not appear in  $\mathcal{A}(L_1^{-1})$ . The acyclic set is made up of those elements of Tors X which do not appear in either of the two lists above.

**Remark 3.4** This first proof implicitly uses the homomorphism of semigroups  $\mathfrak{E} \to \text{Tors } X$  described in sec. 3.1.6. We explain this approach in more detail for the Beauville surfaces in Appendix C.

**Second Proof** Given that the above argument assumed the claim of Remark 3.3 without proof, we should check that each element of  $\mathcal{A}(L_1^{-1})$  is really acyclic. To do this, we use Theorem 2.1 repeatedly to calculate the cohomology of all possible torsion twists of  $L_1$ . This is done in our computer script [19].

#### 3.2.2 Exceptional collections on the Kulikov surface

We now find all exceptional collections on X which are numerically of the form (11). Lemma 3.1 reduces us to a simple search, which can be done systematically [19].

**Theorem 3.1** There are nine exceptional collections  $L_0 = \mathcal{O}_X$ ,  $L_1(\tau_1), \ldots, L_5(\tau_5)$  on X which are numerically of the form (11). They are given in Table 1 below. Each row lists the required torsion twists  $\tau_i$  for  $i = 1, \ldots, 5$  as elements of  $(\mathbb{Z}/3)^3$ .

	$  \tau_1$	$ au_2$	$ au_3$	$ au_4$	$ au_5$
1	[0, 0, 0]	[0, 2, 2]	[2, 2, 1]	[2, 2, 1]	[0, 0, 1]
2	[2, 2, 0]	[2, 1, 2]	[0,0,1]	[1, 1, 1]	[2, 2, 1]
3	[2, 2, 1]	[2, 1, 2]	[0,0,1]	[1, 1, 1]	[2, 0, 2]
4	[2, 2, 0]	[2, 0, 1]	[0,2,0]	[2, 2, 1]	[2, 1, 2]
5	[1, 1, 0]	[1, 0, 2]	[2, 2, 0]	[1, 1, 1]	[2, 2, 1]
6	[1, 1, 0]	[1, 0, 2]	[0,0,1]	[1, 1, 1]	[2, 2, 1]
7	[1, 1, 0]	[1, 0, 2]	[2, 2, 1]	[1, 1, 1]	[0,0,1]
8	[2, 0, 2]	[2, 2, 0]	[0, 1, 2]	[1, 1, 1]	[2, 2, 1]
9	[2, 0, 2]	[2, 2, 1]	[0,1,2]	[1,1,1]	[1,0,2]

Table 1: Exceptional collections on the Kulikov surface

**Remark 3.5** By Lem. 3.4, each line bundle in Table 1 can be written as a linear combination of  $D_1, \ldots, D_6, E_1, E_2, E_3$ . For instance, the second row of the table is

$$\mathcal{O}_X, \ \mathcal{O}_X(-D_2 + D_3 - D_4 + D_5 - D_6 + 2E_2 - 2E_3),$$
  
$$\mathcal{O}_X(-D_2 + 2D_3 - D_4 - D_6 + 3E_2 - 2E_3), \ \mathcal{O}_X(D_2 - 2D_3 + D_4 + D_5 - 2D_6 - E_2),$$
  
$$\mathcal{O}_X(-D_1 + D_3 - 2D_4 + E_2), \ \mathcal{O}_X(-D_2 + D_3 - D_4 - E_1 + E_2 - E_3).$$

- **Remark 3.6** 1. The precise number of exceptional collections is not important. Rather, the fact that we have definitively enumerated all exceptional collections of numerical type  $\Lambda$ , means that we can sift through the list to find one with the most desirable properties.
  - 2. Let  $\Lambda'$  be any translation of  $\Lambda$  under the Weyl group action of  $A_1 \times A_2$  on Pic Y. Then  $\Lambda'$  is another numerical exceptional collection on X (see Sec. 3.1.7), so we may enumerate exceptional collections on X of numerical type  $\Lambda'$ . For the Kulikov surface, each element of the orbit corresponds to either 9, 14, 18 or 24 exceptional

collections on X. Thus, the Weyl group action does not "lift" to X in a way which is compatible with the covering  $X \to Y$ . On occasion, this incompatibility is used to our advantage (see Sec. 5). We return to these exceptional collections in section 4.

# 4 Heights of exceptional collections

Let X be a surface of general type with  $p_g = q = 0$ , Tors  $X \neq 0$  with an exceptional collection of line bundles  $\mathbb{E} = (E_0, \ldots, E_{n-1})$ . Write  $\mathcal{E}$  for the smallest full triangulated subcategory of  $D^b(X)$  containing  $\mathbb{E}$ . In this section we calculate some invariants of  $\mathbb{E}$ . The invariants we consider are essentially determined by the derived category, but we must enhance the derived category in order to make computations. For completeness, we discuss some background first.

### 4.1 Motivation from del Pezzo surfaces

Let Y be a del Pezzo surface and let  $\mathbb{E}$  be a strong exceptional collection of line bundles on Y. Recall that  $\mathbb{E}$  is strong if  $\operatorname{Ext}^k(E_i, E_j) = 0$  for all i, j and for all k > 0. Then the derived endomorphism ring  $H^*B = \operatorname{Ext}^*(T, T) = \bigoplus_{i,j} \operatorname{Hom}(E_i, E_j)$  is an associative algebra, and we have an equivalence of categories  $\mathcal{E} \cong D^b(\operatorname{mod} H^*B)$  (see [15]). Here we have defined  $T = \bigoplus_i E_i$ .

From now on, we assume that  $\mathbb{E}$  is an exceptional collection on a fake del Pezzo surface X, so that we do not have the luxury of choosing a strong exceptional collection. Instead, we recover  $\mathcal{E}$  by studying the higher multiplications coming from the  $A_{\infty}$ -algebra structure on  $H^*B$ .

# 4.2 Digression on dg-categories

We sketch the construction of a differential graded (or dg) enhancement  $\mathcal{D}$  of  $D^b(X)$ . Objects in  $\mathcal{D}$  are the same as those in  $D^b(X)$ , but morphisms  $\operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,G)$  form a chain complex, with differential d of degree +1. Composition of maps  $\operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,G) \otimes \operatorname{Hom}_{\mathcal{D}}^{\bullet}(G,H) \to \operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,H)$  is a morphism of complexes (the Leibniz rule), and for any object F in  $\mathcal{D}$ , we require  $d(\operatorname{id}_F) = 0$ . For a precise definition of  $\operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,G)$ , one could use the Čech complex, and we refer to [33] for details. The main point is that the cohomology of  $\operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,G)$  in degree k is  $\operatorname{Ext}_{D^b(X)}^k(F,G)$ , so in particular, we have  $H^0(\operatorname{Hom}_{\mathcal{D}}^{\bullet}(F,G)) = \operatorname{Hom}_{D^b(X)}(F,G)$ .

# 4.3 Hochschild homology

We first compute some additive invariants, only making implicit use of the dg-structure. The Hochschild homology of X is given by the Hochschild–Kostant–Rosenberg isomorphism

$$HH_k(X) \cong \bigoplus_p H^{p+k}(X, \Omega_X^p),$$

so  $HH_0(X) = \mathbb{C}^{12-K^2}$  and  $HH_k(X) = 0$  in all other degrees. Moreover, Hochschild homology is additive over semiorthogonal decompositions.

**Theorem 4.1** [32] If  $D^b(X) = \langle \mathcal{A}, \mathcal{B} \rangle$  is a semiorthogonal decomposition, then

$$HH_k(X) = HH_k(\mathcal{A}) \oplus HH_k(\mathcal{B}).$$

Assuming the Bloch conjecture on algebraic zero-cycles, we have

$$K_0(X) = \mathbb{Z}^{12-K^2} \oplus \operatorname{Tors} X_s$$

and we note that K-theory is also additive over semiorthogonal decompositions (see Prop. 3.1).

Now for an exceptional collection of length  $n, K_0(\mathcal{E}) = \mathbb{Z}^n$  and

$$HH_k(\mathcal{E}) = \begin{cases} \mathbb{C}^n & \text{if } k = 0\\ 0 & \text{otherwise.} \end{cases}$$

Thus the maximal length of  $\mathbb{E}$  is at most  $12 - K_X^2$ , and such an exceptional sequence of maximal length effects a semiorthogonal decomposition  $D^b(X) = \langle \mathcal{E}, \mathcal{A} \rangle$  with nontrivial semiorthogonal complement  $\mathcal{A}$ . We say that  $\mathcal{A}$  is a *quasiphantom* category; by additivity, the Hochschild homology vanishes, but  $K_0(\mathcal{A}) \supseteq \text{Tors } X \neq 0$ , so  $\mathcal{A}$  can not be trivial.

#### 4.4 Height

The Hochschild cohomology groups of X may be computed via the other Hochschild–Kostant–Rosenberg isomorphism (cf. [32]):

$$HH^{k}(X) = \bigoplus_{p+q=k} H^{q}(X, \Lambda^{p}T_{X}).$$

Thus for a surface of general type with  $p_g = 0$ , we have

$$HH^{0}(X) \cong H^{0}(\mathcal{O}_{X}) = \mathbb{C}, \ HH^{1}(X) = 0, \ HH^{2}(X) \cong H^{1}(T_{X}),$$
  
 $HH^{3}(X) \cong H^{2}(T_{X}), \ HH^{4}(X) \cong H^{0}(2K_{X}) = \mathbb{C}^{1+K^{2}}.$ 

Recall that the degree two (respectively three) Hochschild cohomology is the tangent space (resp. obstruction space) to the formal deformations of a category.

In principle, [33] gives an algorithm for computing  $HH^*(\mathcal{A})$  using a spectral sequence and the notion of height of an exceptional collection. Moreover, by [33, Prop. 6.1], for an exceptional collection to be full, its height must vanish. Thus the height may be used to prove existence of phantom categories without reference to the K-theory. We outline the algorithm of [33] below. Given an exceptional collection  $\mathbb{E}$  on X, there is a long exact sequence (induced by a distinguished triangle)

 $\dots \to NHH^{k}(\mathbb{E}, X) \to HH^{k}(X) \to HH^{k}(\mathcal{A}) \to NHH^{k+1}(\mathbb{E}, X) \to \dots$ 

where  $NHH(\mathbb{E}, X)$  is the normal Hochschild cohomology of the exceptional collection  $\mathbb{E}$ . The normal Hochschild cohomology can be computed using a spectral sequence with first page

$$\mathbf{E}^{1}_{-p,q} = \bigoplus_{\substack{0 \le a_{0} < \dots < a_{p} \le n-1 \\ k_{0} + \dots + k_{p} = q}} \operatorname{Ext}^{k_{0}}(E_{a_{0}}, E_{a_{1}}) \otimes \dots \otimes \operatorname{Ext}^{k_{p-1}}(E_{a_{p-1}}, E_{a_{p}}) \otimes \operatorname{Ext}^{k_{p}}(E_{a_{p}}, S^{-1}(E_{a_{0}})).$$

The spectral sequence relies on the dg-structure on  $\mathcal{D}$ ; the initial differentials d' and d'' are induced by the differential on  $\mathcal{D}$  and the composition map respectively, while the higher differentials are related to the  $A_{\infty}$ -algebra structure on Ext-groups, (see Sec. 4.6).

The existing examples of exceptional collections on surfaces of general type with  $p_g = 0$ suggest that  $NHH^k(\mathbb{E}, X)$  vanishes for small k. Thus the height  $h(\mathbb{E})$  of an exceptional collection  $\mathbb{E} = (E_0, \ldots, E_{n-1})$  is defined to be the smallest integer m for which  $NHH^m(\mathbb{E}, X)$ is nonzero. Alternatively, m is the largest integer such that the canonical restriction morphism  $HH^k(X) \to HH^k(\mathcal{A})$  is an isomorphism for all  $k \leq m-2$  and injective for k = m-1.

### 4.5 Pseudoheight

The height may be rather difficult to compute in practice, requiring a careful analysis of the Ext-groups of  $\mathbb{E}$  and the maps in the spectral sequence. The pseudoheight is easier to compute and sometimes gives a good lower bound for the height.

**Definition 4.1** The pseudoheight  $ph(\mathbb{E})$  of an exceptional collection  $\mathbb{E} = (E_0, \ldots, E_{n-1})$  is

$$ph(\mathbb{E}) = \min_{0 \le a_0 \le a_1 < \dots < a_p \le n-1} \left( e(E_{a_0}, E_{a_1}) + \dots + e(E_{a_{p-1}}, E_{a_p}) + e(E_{a_p}, E_{a_0}(-K_X)) - p + 2 \right),$$

where  $e(F, F') = \min\{i : \text{Ext}^{i}(F, F') \neq 0\}.$ 

The pseudoheight is just the total degree of the first nonzero term in the first page of the spectral sequence, where the shift by 2 takes care of the Serre functor.

Consider the length 2n anticanonical extension of the sequence  $\mathbb{E}$  (see also Sec. 3.1.7):

$$E_0, \dots, E_{n-1}, E_n = E_0(-K_X), \dots, E_{2n-1} = E_{n-1}(-K_X).$$
 (12)

If the  $E_i$  are line bundles, then we have a numerical lower bound for the pseudoheight.

**Lemma 4.1** [33, Lem. 4.10, Lem. 5.1] If  $K_X$  is ample and  $E_i \cdot K_X \ge E_j \cdot K_X$  for all i < jand for all  $E_i$ ,  $E_j$  in the anticanonically extended sequence (12), then  $ph(\mathbb{E}) \ge 3$ . The numerical conditions required by the Lemma are not particularly stringent. For example, all the exceptional collections we have exhibited on the Kulikov surface in Sec. 3.2 have pseudoheight at least 3, even before we consider the Ext-groups more carefully.

**Remark 4.1** If *L* is a line bundle, then dim  $\text{Ext}^k(L, L(-K_X)) = h^{2-k}(2K_X)$  by Serre duality, which is the case p = 0 in Def. 4.1. Thus any exceptional collection of line bundles on a surface of general type with  $p_g = 0$  has pseudoheight at most 4. Moreover, if  $ph(\mathbb{E}) = 4$ , then  $h(\mathbb{E}) = 4$  by [33].

# 4.6 The $A_{\infty}$ -algebra of an exceptional collection

Let  $\mathbb{E} = (E_0, \ldots, E_{n-1})$  be an exceptional collection on X, and define  $T = \bigoplus_{i=0}^{n-1} E_i$ . Then  $B = \operatorname{Hom}_{\mathcal{D}}^{\bullet}(T, T)$  is a differential graded algebra via the dg-structure on  $\mathcal{D}$  (see Sec. 4.2). It can be difficult to compute the dg-algebra structure on B directly, so we pass to the  $A_{\infty}$ -algebra  $H^*B$ .

We discuss  $A_{\infty}$ -algebras, referring to [27] for details and further references. An  $A_{\infty}$ algebra is a graded vector space  $A = \bigoplus_{p \in \mathbb{Z}} A^p$ , together with graded multiplication maps  $m_n \colon A^{\otimes n} \to A$  of degree 2-n, for each  $n \ge 1$ . These multiplication maps satisfy an infinite sequence of relations, starting with

$$m_1 m_1 = 0,$$
  

$$m_1 m_2 = m_2 (m_1 \otimes \mathrm{id}_A + \mathrm{id}_A \otimes m_1).$$

These first two relations ensure that  $m_1$  is a differential on A, satisfying the Leibniz rule with respect to  $m_2$ . The third relation is

$$m_2(\mathrm{id}_A \otimes m_2 - m_2 \otimes \mathrm{id}_A) = m_1 m_3 + m_3(m_1 \otimes \mathrm{id}_A \otimes \mathrm{id}_A + \mathrm{id}_A \otimes m_1 \otimes \mathrm{id}_A + \mathrm{id}_A \otimes \mathrm{id}_A \otimes m_1),$$

which shows that  $m_2$  is not associative in general, but if  $m_n = 0$  for all  $n \ge 3$ , then A is an ordinary associative differential graded algebra.

In fact, by the above discussion, we can view B is an  $A_{\infty}$ -algebra, with  $m_1$  being the differential,  $m_2$  the multiplication, and  $m_n = 0$  for  $n \ge 3$ . By a theorem of Kadeishvili (cf. [27]), the homology  $H^*B = H^*(B, m_1)$  has a canonical  $A_{\infty}$ -algebra structure, for which  $m_1 = 0, m_2$  is induced by the multiplication on B, and  $H^*B$  and B are quasi-isomorphic as  $A_{\infty}$ -algebras. This canonical  $A_{\infty}$ -structure is unique, and  $H^*B$  is called a *minimal model* for B. We say that B is formal if it has a minimal model  $H^*B$  for which  $m_n = 0$  for all  $n \ge 3$ , so that  $H^*B$  is just an associative graded algebra.

The  $A_{\infty}$ -algebra of  $\mathbb{E}$  is

$$H^*B = \operatorname{Ext}^*(T,T) = \bigoplus_k \bigoplus_{0 \le i,j \le n-1} \operatorname{Ext}^k(E_i, E_j),$$

and  $m_2$  coincides with the Yoneda product on Ext-groups. Clearly, if the exceptional collection  $\mathbb{E}$  consists of sheaves, then  $H^*B$  has only three nontrivial graded summands, in

degrees 0, 1 and 2. Since  $m_n$  has degree 2 - n, the summands of degree 0 and 1 are crucial in determining the  $A_{\infty}$ -algebra structure.

#### **4.6.1** Recovering $\mathcal{E}$ from $H^*B$

According to [15], [28], the subcategory  $\mathcal{E}$  of  $\mathcal{D}$  generated by the exceptional collection  $\mathbb{E}$  is equivalent to the triangulated subcategory  $\operatorname{Perf}(B) \subset D^b(\operatorname{mod}-B)$  of perfect objects over the dg-algebra B. A perfect object is a differential graded B-module that is quasiisomorphic to a bounded chain complex of projective and finitely generated modules. As mentioned above, it is preferable to consider the  $A_{\infty}$ -algebra  $H^*B$  instead, noting that  $\mathcal{E}$  is in turn equivalent to the triangulated category of perfect  $A_{\infty}$ -modules over  $H^*B$ . If B is formal, the equivalence reduces to  $\mathcal{E} \cong D^b(\operatorname{mod}-H^*B)$ , which should be compared with Section 4.1.

We search for exceptional collections whose Hom- and  $\text{Ext}^1$ -groups are mostly zero. In good cases, this implies that B is formal, and  $H^*B$  has no deformations. It then follows that  $\mathcal{E}$  is rigid, i.e. constant in families.

# 4.7 Quasiphantoms on the Kulikov surface

We study some properties of the exceptional collections on the Kulikov surface from section 3.2. For the purposes of the discussion, we fix the following exceptional collection

$$\mathbb{E}: \mathcal{O}, L_1[2,2,0], L_2[2,1,2], L_3[0,0,1], L_4[1,1,1], L_5[2,2,1],$$

which can be found in the second row of Table 1 in Sec. 3.2.

Using Thm 2.1, we may compute the Ext-groups of the extended sequence (12). We present the results in Table 2 below. The ijth entry of the table is the following formal polynomial in q

$$\sum_{k\in\mathbb{Z}}\dim\operatorname{Ext}^k(E_i,E_{i+j})q^k$$

where  $0 \le i, j \le 5$ , and the zigzag delineates those entries whose target  $E_{i+j}$  is in the anticanonically extended part of (12).

**Lemma 4.2** The only nonzero  $\text{Ext}^1$ -groups are  $\text{Ext}^1(E_1, E_4)$  which is 2-dimensional, and  $\text{Ext}^1(E_1, E_5)$  which is 1-dimensional.

**Remark 4.2** The lemma shows that  $\mathbb{E}$  does not have 3-block structure. A 3-block structure means the exceptional collection can be split into three mutually orthogonal blocks (cf. [26]). In fact, every exceptional collection in Table 1, and every exceptional collection in the Weyl group orbit (cf. Sec. 3.1.7), has some non-zero Ext<sup>1</sup>-groups. This is in contrast with the exceptional collections on the Burniat surface exhibited in [2], which are of the same numerical type, and have 3-block structure.

	0	1	2	3	4	5
0	1	$2q^2$	$2q^2$	$\frac{2q^2}{2q+3q^2}$	$3q^2$	$3q^2$
1	1	0	0	$2q + 3q^2$	$q+2q^2$	$4q^{2}$
2	1	0	$a^2$	$a^2$	$4q^2$	
3	1	$q^2$	$q^2$	$4q^2$	$6q^2$	$6q^2$
4	1	0	$3q^2$	$5q^2$	$5q^2$	$5q^2$
5	1	$3q^2$	$5q^2$	$5q^2$	$5q^2$	$6q^2$

Table 2: Ext-table of an exceptional collection on the Kulikov surface

**Proposition 4.1** The  $A_{\infty}$ -algebra of  $\mathbb{E}$  is formal, and the product  $m_2$  of any two elements with strictly positive degree is trivial.

**Proof** The  $A_{\infty}$ -algebra  $H^*B$  of  $\mathbb{E}$ , is the direct sum of all Ext-groups appearing above the zigzag in the table. By [41, Lemma 2.1] or [34, Thm 3.2.1.1], we may assume that  $m_n(\ldots, id_{E_i}, \ldots) = 0$  for all  $E_i$  and all n > 2.

We show that every product  $m_3$  must be zero for degree reasons. By Lemma 4.2, there are only two nonzero arrows in degree 1, and they can not be composed with one another, since they have the same source. Thus the product  $m_3$  of any 3 composable elements of  $H^*B$  has degree at least deg  $m_3+1+2+2=4$ , and is therefore identically zero, because the graded piece  $H^4B$  is trivial. The same argument applies for all products  $m_n$  with  $n \ge 3$ . Thus  $H^*B$  is a formal  $A_{\infty}$ -algebra. In fact, we see from the table that any product  $m_2$  of two elements of nonzero degree also vanishes for degree reasons.

Moreover, we calculate the Hochschild cohomology of  $\mathcal{A}$  using heights.

**Proposition 4.2** We have  $HH^0(\mathcal{A}) = \mathbb{C}$ ,  $HH^1(\mathcal{A}) = 0$ ,  $HH^2(\mathcal{A}) = \mathbb{C}$ , and  $HH^3(\mathcal{A})$  contains a copy of  $\mathbb{C}^3$ .

**Proof** The pseudoheight of  $\mathbb{E}$  may also be computed from the table, where now we also need the portion below the zigzag. The minimal contribution to the pseudoheight is achieved by incorporating one of the nonzero Ext<sup>1</sup>-groups. For example,

$$e(E_1, E_4) + e(E_4, E_1 \otimes \omega_X) - 1 + 2 = 1 + 2 - 1 + 2 = 4,$$

so  $ph(\mathbb{E}) = 4$ . In this case, by [33], the height and pseudoheight are equal. Hence  $HH^k(\mathcal{A}) = HH^k(X)$  for  $k \leq 2$ , and  $HH^3(\mathcal{A}) \supset HH^3(X)$ . By the Hochshild–Kostant–Rosenberg isomorphism, the dimensions of  $HH^k(X)$  follow from the infinitesimal deformation theory of the Kulikov surface, which was studied in [18]:  $H^1(T_X) = 1$  and  $H^2(T_X) = 3$ .

In summary, we have

**Theorem 4.2** Every Kulikov surface X has a semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{E}, \mathcal{A} \rangle$$

where  $\mathcal{E}$  is generated by the exceptional collection  $\mathbb{E}$ , and  $\mathcal{E}$  is rigid, i.e.  $\mathcal{E}$  does not vary with X. The semiorthogonal complement  $\mathcal{A}$  is a quasiphantom category whose formal deformation space is isomorphic to that of  $D^b(X)$ , and therefore X may be reconstructed from  $\mathcal{A}$ .

# 5 Burniat surfaces revisited

Exceptional collections on Burniat surfaces  $X_6$  with  $K^2 = 6$  were first constructed and studied in [2], where two 3-block exceptional collections are exhibited. In this section, we consider the other families of Burniat surfaces  $X_k$  with  $K_X^2 = k$ , for  $2 \le k \le 6$ , which are obtained when the branch locus degenerates. Burniat surfaces were discovered in [17], and an alternate construction is given in [25]. The torsion groups of  $X_k$  are either  $(\mathbb{Z}/2)^k$ , or  $(\mathbb{Z}/2)^3$  when  $K^2 = 2$  (cf. [40], [4]). We use the description of  $X_k$  as a  $(\mathbb{Z}/2)^2$ -cover of a (weak) del Pezzo surface Y with  $K_Y^2 = k$ . For  $3 \le k \le 6$ ,  $X_k$  satisfies assumptions (A), and so we are able to enumerate exceptional collections on all these Burniat surfaces. We illustrate this using the numerical exceptional collection

$$\Lambda: 0, e_1, \ldots, e_{9-k}, e_0, 2e_0$$

for  $4 \le k \le 6$ . Heuristically, as the size of the torsion group decreases it becomes more difficult to find good exceptional collections. Thus for k = 3, we have to be more careful, using a different choice for  $\Lambda$ , together with the Weyl group action to find exceptional collections. Exceptional collections of line bundles of maximal length on the Burniat–Campedelli surface  $X_2$  with  $K^2 = 2$  remain elusive, because this surface does not satisfy assumption (A1).

# 5.1 Primary Burniat surfaces with $K^2 = 6$

Exceptional collections on primary Burniat surfaces with  $K^2 = 6$  were first constructed and studied in [2] (see also [1]). We apply our own methods here, to give new examples of exceptional collections and to put exceptional collections on the other families of Burniat surfaces into context.

We briefly explain the Burniat line configuration, see [4] for details. Take the three coordinate points  $P_1, P_2, P_3$  in  $\mathbb{P}^2$ , and label the edges  $\overline{A}_0 = P_1 P_2$ ,  $\overline{B}_0 = P_2 P_3$ ,  $\overline{C}_0 = P_3 P_1$ . Then let  $\overline{A}_1, \overline{A}_2$  (respectively  $\overline{B}_i, \overline{C}_i$ ) be two general lines passing through  $P_1$  (resp.  $P_2$ ,  $P_3$ ). This gives nine lines in total, four passing through each  $P_i$ . Blow up the three points  $P_i$  to obtain a del Pezzo surface Y of degree 6. The strict transforms of these nine lines

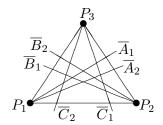


Figure 2: The Primary Burniat configuration with  $K^2 = 6$ 

(for which we use the same labels) together with the three exceptional curves  $\overline{E}_i$ , are called the *Burniat line configuration*.

The Burniat surface X is a  $(\mathbb{Z}/2)^2$ -cover of Y branched in the Burniat line configuration, and X is a surface of general type with  $p_g = 0$ ,  $K^2 = 6$  and  $\text{Tors}(X) = (\mathbb{Z}/2)^6$ . The maximal abelian cover A of X is a  $(\mathbb{Z}/2)^8$ -cover of Y.

The Burniat configuration has four free parameters, and primary Burniat surfaces form a 4-dimensional irreducible connected component of the moduli space of surfaces of general type (see [36]). In particular,  $h^1(T_X) = 4$  and  $h^2(T_X) = 6$ .

In Appendix B.1, we show that the primary Burniat surfaces satisfy assumptions (A), exhibiting a basis for  $\operatorname{Pic} X/\operatorname{Tors} X$  in terms of reduced pullbacks of irreducible branch divisors. The appendix also lists coordinates for the reduced pullback of each irreducible component of the branch divisor according to Definition 3.4.

We consider the following exceptional collection on Y

$$\Lambda: 0, \ e_1, \ e_2, \ e_3, \ e_0, \ 2e_0, \tag{13}$$

and use assumption (A) to produce a numerical exceptional collection  $(L_i)$  on X. The computer lists acyclic sets  $\mathcal{A}(L_i^{-1})$  and  $\mathcal{A}(L_j^{-1} \otimes L_i)$ , and a systematic search through these enumerates all exceptional collections of numerical type (13).

**Theorem 5.1** There are 81332 exceptional collections  $L_0 = \mathcal{O}_X$ ,  $L_1(\tau_1), \ldots, L_5(\tau_5)$  on  $X_6$  of numerical type (13). We give a sample of two below.

	$ au_1$	$ au_2$	$ au_3$	$ au_4$	$ au_5$
1	[1, 0, 1, 0, 0, 0]	[0, 0, 0, 1, 0, 0]	[0, 1, 0, 0, 0, 1]	[0, 0, 0, 0, 0, 1]	[1, 1, 1, 1, 0, 1]
2	[1, 0, 1, 0, 0, 0]	$\left[0,0,0,1,0,0\right]$	$\left[0,1,0,0,0,1\right]$	$\left[1,1,1,1,0,0\right]$	$\left[1,1,1,1,0,1\right]$

Table 3: Exceptional collections on the primary Burniat surface

**Remark 5.1** The precise number of exceptional collections is not important, especially since we have not even taken into account the action of the Weyl group. The basic observation is that there is an abundance of exceptional collections of line bundles on the primary Burniat surface, from which we may choose those with the best properties.

There are 16 exceptional collections on X of numerical type  $\Lambda$  which have no Ext<sup>1</sup>groups, and the two sample exceptional collections are taken from these 16. In all 16 cases, the Ext-groups for the anticanonically extended sequence (12) have the same dimensions, displayed in Table 4.

					4	
0	1	$q^2$	$q^2$	$q^2$	$q^2$	$6q^{2}$ $5q^{2}$ $6q^{2}$ $6q^{2}$ $4q^{2}$ $2z^{2}$
1	1	0	0	$2q^2$	$5q^2$	$5q^2$
2	1	0	$2q^2$	$5q^2$	$5q^2$	$6q^2$
3	1	$2q^2$	$5q^2$	$5q^2$	$6q^2$	$6q^2$
4	1	$3q^2$	$3q^2$	$4q^2$	$4q^2$	$4q^2$
5	1	0	$q^2$	$q^2$	$q^2$	$3q^2$

Table 4: Ext-table of an exceptional collection on the primary Burniat surface

This is the best possible situation, because the product  $m_2$  of any two elements of degree 2 must be identically zero for degree reasons, and all higher products  $m_n$  are also zero. Moreover the quasiheight of  $\mathbb{E}$  is 4. To summarise, we have:

**Proposition 5.1** Let  $\mathbb{E}$  be any one of the 16 exceptional collections on the primary Burniat surface for which there are no  $\operatorname{Ext}^1$ -groups. Then the  $A_{\infty}$ -algebra  $H^*\mathbb{E}$  is formal, and the product of any two elements of positive degree vanishes. The Hochschild cohomology of each of the corresponding quasi-phantom categories  $\mathcal{A}$  is

$$HH^0(\mathcal{A}) = \mathbb{C}, \ HH^1(\mathcal{A}) = 0, \ HH^2(\mathcal{A}) = \mathbb{C}^4, \ HH^3(\mathcal{A}) \supset \mathbb{C}^6.$$

# **5.2** Secondary Burniat surfaces with $K^2 = 5$

The secondary Burniat surfaces arise when the branch configuration has one or two triple points. We first impose a single triple point  $P_4$  on the three branch lines  $\overline{A}_1$ ,  $\overline{B}_1$ , and  $\overline{C}_2$  (see Figure 3). The  $(\mathbb{Z}/2)^2$ -cover would then have a  $\frac{1}{4}(1,1)$  singularity over  $P_4$ , so we blow up at  $P_4$ , to obtain a del Pezzo surface Y of degree 5. The induced nonsingular  $(\mathbb{Z}/2)^2$ -cover X of Y is called a secondary Burniat surface with  $K^2 = 5$ . Since the cover is unramified over  $P_4$ , the torsion group of X is only  $(\mathbb{Z}/2)^5$  as opposed to  $(\mathbb{Z}/2)^6$  for the primary Burniat surface.

The configuration in Figure 3 has three free parameters, and secondary Burniat surfaces with  $K^2 = 5$  form a 3-dimensional irreducible connected component of the moduli space of surfaces of general type (see [5]), so  $h^1(T_X) = h^2(T_X) = 3$ .

In Appendix B.2, we give a basis for  $\operatorname{Pic} X/\operatorname{Tors} X$  and coordinates for  $\operatorname{Pic} X$ . In particular, X satisfies assumptions (A). We consider the following exceptional collection of

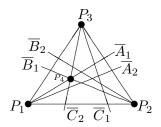


Figure 3: The Secondary Burniat configuration with  $K^2 = 5$ 

line bundles on Y

$$\Lambda: 0, \ e_1, \ e_2, \ e_3, \ e_4, \ e_0, \ 2e_0$$

As usual, we get a numerical exceptional collection  $(L_i)$  on X, and we enumerate all exceptional collections on  $X_5$  corresponding to our chosen numerical exceptional collection.

**Theorem 5.2** There are 2597 exceptional collections on  $X_5$  corresponding to  $L_0, \ldots, L_6$ . We give a sample

$$L_0, \ L_1[0,0,1,1,1], \ L_2[0,1,0,0,1], \ L_3[1,1,1,0,1], \ L_4[0,1,1,0,0], \ L_5[0,1,1,0,1], \ L_6[1,0,0,1,1],$$

whose Ext-table is found in Table 5.

The sample exceptional collection was chosen because it is the only one of numerical type  $\Lambda$  for which the four line bundles  $E_1, \ldots, E_4$  corresponding to the (-1)-curves on Y are mutually orthogonal. There are many other exceptional collections of numerical type  $\Lambda$  with very few non-zero Ext<sup>1</sup>-groups, but unlike  $X_6$ , we do not find any exceptional collections that have no non-zero Ext<sup>1</sup>-groups.

Nevertheless, from the table we see that there is no nontrivial composition of two elements of degree 1 in  $H^*B$ . Moreover, the elements of degree 1 do not compose with any element below the zigzag.

**Proposition 5.2** The  $A_{\infty}$ -algebra of the displayed exceptional collection on the secondary Burniat surface with  $K^2 = 5$  is formal, and the product of any two elements of nonzero degree is zero. Moreover, the corresponding quasi-phantom category has Hochschild cohomology

$$HH^0(\mathcal{A}) = \mathbb{C}, \ HH^1(\mathcal{A}) = 0, \ HH^2(\mathcal{A}) = \mathbb{C}^3, \ HH^3(\mathcal{A}) \supset \mathbb{C}^3.$$

# **5.3** Secondary Burniat surfaces with $K^2 = 4$

There are two ways to impose a second triple point  $P_5$  on the Burniat configuration, leading to two different secondary Burniat surfaces with  $K_X^2 = 4$  (see Figure 4). If  $P_4$  and  $P_5$  do not lie on the same branch line, then the blow up Y of  $\mathbb{P}^2$  at  $P_1, \ldots, P_5$  is a del Pezzo

	0		2		4	5	6
0	1	$q + 2q^2$	$q + 2q^2$	$q + 2q^2$	$q^2$	$3q^2$	$6q^2$
1	1	0	0	0	$2q^2$	$5q^2$	$4q^2$
2			0		$5q^2$	$4q^2$	$5q^2$
3	1	0	$2q^2$	$5q^2$	$4q^2$	$5q^2$	$5q^2$
4	1	$2q^2$	$5q^2$	$4q^2$	$5q^{2}$	$5q^2$	$5q^2$
5	1	$3q^2$	$q+3q^2$	$3q^2$	$3q^2$	$3q^2$	$3q^2$
6	1	q	$q + q^2$	$q+q^2$	$q+q^2$	0	$2q^2$

Table 5: Ext-table of an exceptional collection on the secondary Burniat surface with  $K^2 = 5$ 

surface of degree 4, and the  $(\mathbb{Z}/2)^2$ -cover is called *non-nodal*. If  $P_4$  and  $P_5$  do lie on a single branch line (in Fig. 4, this line is  $\overline{A}_1$ ), then Y is a weak del Pezzo surface, and the  $(\mathbb{Z}/2)^2$ -cover is called *nodal* because the canonical model of X has a  $\frac{1}{2}(1,1)$  singularity. In both cases, the second triple point causes the torsion group of X to drop to  $(\mathbb{Z}/2)^4$ .

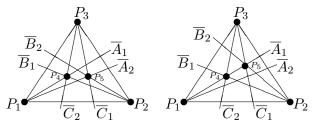


Figure 4: The secondary Burniat configurations with  $K^2 = 4$  (nodal configuration is on the right)

Both configurations in Figure 4 have two free parameters, so that we obtain two 2dimensional families of secondary Burniat surfaces with  $K^2 = 4$ . We recall some facts from [5] and [6]. The non-nodal case again forms an irreducible connected component of the moduli space, with  $h^1(T_X) = 2$  and  $h^2(T_X) = 0$ . The nodal case is more interesting: the 2dimensional family is a proper subset of a 3-dimensional irreducible connected component of the moduli space. In fact,  $h^1(T_{X^n}) = 3$  and  $h^2(T_{X^n}) = 1$ , and there is a 3-dimensional family of *extended Burniat surfaces* (see [5]), each of which is a  $(\mathbb{Z}/2)^2$ -cover of a generalisation of the nodal Burniat configuration. We do not directly consider extended Burniat surfaces in this article.

The data listed in Appendix B.3 shows that both  $X_4$  and  $X_4^n$  satisfy assumption (A). Choosing the numerical exceptional collection

$$\Lambda: 0, e_1, e_2, e_3, e_4, e_5, e_0, 2e_0,$$

we enumerate all exceptional collections on  $X_4^n$  corresponding to  $\Lambda$ .

**Theorem 5.3** There are 13 exceptional collections on  $X_4^n$  of numerical type  $\Lambda$ . Here is a sample exceptional collection

whose Ext-table is found in Table 6.

	0	1	2	3	4	5	6	7
0	1	$q^2$	$q^2$	$q^2$	$q^2$	$q^2$	$3q^2$	$6q^2$
1	1	$q+q^2$	0	$q + q^2$	$q+q^2$	$2q^2$	$5q^2$	$3q^2$
2	1	0	0	0	$2q^2$	$5q^2$	$3q^2$	$4q^2$
3	1	0	$q + q^2$	$2q^2$	$5q^2$	$3q^2$	$4q^2$	$4q^2$
4	1	0	$2q^2$	$5q^2$	$3q^2$	$4q^2$	$4q^2$	$4q^2$
5	1	$2q^2$	$5q^2$	$3q^2$	$4q^2$	$4q^2$	$4q^2$	$4q^2$
6	1	$3q^2$	$q+2q^2$	$2q^2$	$2q^2$	$2q^2$	$2q^2$	$2q^2$
	1	2q	q			q	$2q + q^2$	$q^2$

Table 6: Ext-table of an exceptional collection on the nodal Burniat surface with  $K^2 = 4$ 

The non-nodal surface  $X_4$  has six exceptional collections of numerical type  $\Lambda$ , but it is difficult to find one for which the  $A_{\infty}$ -algebra is obviously formal, because there are too many nonzero Ext<sup>1</sup>-groups. We use the Weyl group action on the del Pezzo surface Y to obtain the following numerical exceptional collection

$$\Lambda': 0, e_4, e_2, e_5, e_1, e_3, e_0, 2e_0.$$

This is just a permutation of the order in which we blow up the points in  $\mathbb{P}^2$  to construct Y.

**Theorem 5.4** There are 40 exceptional collections on  $X_4$  of numerical type  $\Lambda'$ , and we exhibit one with the minimum number of  $\text{Ext}^1$ -groups

$$L_{0}, L_{1}[0,0,0,1], L_{2}[0,1,1,0], L_{3}[1,0,1,0], L_{4}[0,1,0,1], L_{5}[1,0,0,0], L_{6}[1,1,1,1], L_{7}[1,1,1,0].$$
(15)

The Ext-table of (15) is displayed in Table 7.

	0	1	2	3	4	5	6	7
0	1	$q^2$	$ \begin{array}{c} q^2 \\ q+q^2 \\ \end{array} $	$q^2$	$q^2$	$q^2$	$3q^2$	$6q^2$
1	1	0	$q+q^2$	0	$q+q^2$	$2q^2$	$5q^2$	$3q^2$
2	1	$q+q^2$	$q + q^2$ 0	$q+q^2$	$2q^2$	$5q^2$	$3q^2$	$4q^2$
3	1	0	0	$2q^2$	$5q^2$	$3q^2$	$4q^2$	$4q^2$
4	1	0	$2q^2$	$5q^2$	$3q^2$	$4q^{2}$	$4q^2$	$4q^2$
5	1	$2q^2$	$5q^2$	$3q^2$	$4q^2$	$4q^2$	$4q^2$	$4q^2$
6	1	$3q^2$	$q^2$	$2q^2$	$2q^2$	$2q^2$	$2q^2$	$2q^2$
7	1	2q	q	q	q	q	q	$q^2$

Table 7: Ext-table of an exceptional collection on the non-nodal Burniat surface with  $K^2 = 4$ 

We see that both the non-nodal and nodal secondary Burniat surfaces with  $K^2 = 4$  have quite a few nonzero Ext<sup>1</sup>-groups, since we do not have as much freedom to search for "good" exceptional collections. Nevertheless, a careful examination of the tables shows that no two elements of degree 1 are composable. Thus in both cases, the  $A_{\infty}$ -algebra is formal, and the height is 4.

We summarise our results on Burniat surfaces with  $K^2 = 6, 5, 4$ .

**Theorem 5.5** Every primary or secondary Burniat surface has at least one exceptional collection of maximal length whose  $A_{\infty}$ -algebra is formal. Moreover, the product of any two elements of positive degree vanishes, and the height is 4. Thus the Hochschild cohomology of each corresponding quasiphantom category is

$$HH^0(\mathcal{A}) = H^0(\mathcal{O}_X), \ HH^1(\mathcal{A}) = 0, \ HH^2(\mathcal{A}) = H^1(T_X), \ HH^3(\mathcal{A}) \supset H^2(T_X).$$

# 5.4 Tertiary Burniat surface with $K^2 = 3$

Imposing a third triple point on the branch configuration (see Fig. 5) gives a tertiary Burniat surface  $X_3$  with  $K_X^2 = 3$ . The weak del Pezzo surface Y has three (-2)-curves,  $\overline{A}_1$ ,  $\overline{B}_1$  and  $\overline{C}_1$ , and the canonical model of  $X_3$  is a  $(\mathbb{Z}/2)^2$ -cover of a 3-nodal cubic. The torsion group of  $X_3$  is  $(\mathbb{Z}/2)^3$ .

Here the moduli space gets quite involved, and we follow the description of [6]. The tertiary Burniat surfaces form a 1-dimensional irreducible family, inside a 4-dimensional irreducible component of the moduli space. The *extended tertiary Burniat surfaces* form an open subset of this irreducible component, and the remainder consists of  $(\mathbb{Z}/2)^2$ -covers of certain singular cubic surfaces. Our main point of interest is that  $h^1(T_X) = 4$ , and  $h^2(T_X) = 0$ .

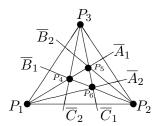


Figure 5: The tertiary Burniat configuration with  $K^2 = 3$ 

In Appendix B.4, we show that X satisfies assumption (A). We use the computer to enumerate all exceptional collections on X of numerical type

$$\Lambda: 0, e_1, e_2, e_3, e_4, e_5, e_6, e_0, 2e_0.$$

**Lemma 5.1** There are no exceptional collections of line bundles of numerical type  $\Lambda$  on the tertiary Burniat surface.

**Remark 5.2** Our systematic search does yield exceptional collections  $\mathbb{E}'$  of length seven with numerical type  $0, e_1, \ldots, e_6$ , but in each case, there are no line bundles corresponding to  $e_0$  or  $2e_0$  which extend  $\mathbb{E}'$ . We have also checked part of the orbit of  $\Lambda$  under the action of the Weyl group of Y, and although we find some exceptional collections on  $X_3$  of length eight, we do not find any of length nine.

## 5.4.1 The *E*<sub>6</sub>-symmetry

In order to find an exceptional collection of line bundles on  $X_3$ , we choose a different numerical exceptional collection  $\Lambda_1$ , using the  $E_6$ -symmetry of Pic Y and the Borel–de Siebenthal procedure. As an example, we consider the sublattice  $3A_2$  inside the extended Dynkin diagram  $\tilde{E}_6$ , which corresponds to a singular del Pezzo surface Y' with  $3 \times \frac{1}{3}(1,2)$ singularities. The minimal resolution  $\tilde{Y}$  is a toric surface with a cycle of nine rational curves with self-intersections

$$-(-2) - (-1) - (-2) - (-2) - (-1) - (-2) - (-2) - (-1) - (-2) - (-2) - (-1) - (-2) -$$

To construct  $\tilde{Y}$ , choose points  $P_1$ ,  $P_2$ ,  $P_3$  in general position in  $\mathbb{P}^2$ . Blow up each  $P_i$ once, and blow up the infinitely near points  $Q_1$ ,  $Q_2$ ,  $Q_3$ , where  $Q_i$  is supported at  $P_i$  with tangent direction  $P_iP_{i+1}$ . Alternatively,  $\tilde{Y}$  is the minimal resolution of the quotient of  $\mathbb{P}^2$ by the  $\mathbb{Z}/3$ -action  $\frac{1}{3}(0, 1, 2)$ , which has three fixed points. We fix a geometric marking on  $\tilde{Y}$  so that the strict transform of the exceptional curve over  $P_i$  has class  $e_i - e_{3+i}$  in Pic  $\tilde{Y}$ , and the exceptional curve over  $Q_i$  has class  $e_{3+i}$ . Then the cycle of curves described above have numerical classes

$$e_1 - e_4, \ e_4, \ e_0 - e_1 - e_2 - e_4, \ e_2 - e_5, \ e_5, \ e_0 - e_2 - e_3 - e_5, \ e_3 - e_6, \ e_6, \ e_0 - e_1 - e_3 - e_6$$

Taking cumulative sums of these classes gives a numerical exceptional collection  $\Lambda_1$  on any del Pezzo surface of degree three:

$$\Lambda_1: 0, \ e_1 - e_4, \ e_1, \ e_0 - e_2 - e_4, \ e_0 - e_4 - e_5, \ e_0 - e_4, \\ 2e_0 - e_2 - e_3 - e_4 - e_5, \ 2e_0 - e_2 - e_4 - e_5 - e_6, \ 2e_0 - e_2 - e_4 - e_5.$$
(16)

We again search for exceptional collections of type  $\Lambda_1$  on X, and again we do not find any. Fortunately, this time we do find exceptional collections on X using the Weyl group action on Y.

**Theorem 5.6** There are exceptional collections on  $X_3$  corresponding to certain numerical exceptional collections in the Weyl group orbit of  $\Lambda_1$ . For example, let  $\Lambda'_1$  be the numerical exceptional collection obtained from  $\Lambda_1$  by swapping  $e_1$  and  $e_2$ . Then

is an exceptional collection on  $X_3$  of numerical type  $\Lambda'_1$ , whose Ext-table is found in Table 8.

**Remark 5.3** We have not studied the whole orbit of numerical exceptional collections, because the Weyl group is quite large, but we can give an overview based on probabilistic methods. It seems that approximately two thirds of the orbit of  $\Lambda_1$  do not give any exceptional collections on X, and the remaining numerical types typically correspond to anywhere between one and 21 exceptional collections on X. We see that exceptional collections are much more scarce on  $X_3$  than for the other Burniat surfaces.

#### **5.4.2** The $A_{\infty}$ -algebra

The exceptional collection (17) was chosen to have the fewest nonzero  $\text{Ext}^1$ -groups, but there are six of them. Of these, there are no three above the zigzag that may be composed with one another under  $m_3$ . Thus  $m_3$  is identically zero on  $H^*B$  for degree reasons, and the  $A_{\infty}$ -algebra of  $\mathbb{E}$  is formal. There is a single possible product of two elements of degree 1, coming from the chain  $E_1 \to E_4 \to E_7$ . It is not clear whether this product is zero.

To compute the Hochschild cohomology, we first consider the pseudoheight of  $\mathbb{E}$ . Examining the table, we see that the pseudoheight is 3, because

$$e(E_1, E_4) + e(E_4, E_7) + e(E_7, E_1 \otimes \omega_X^{-1}) + 2 - 2 = 3.$$

In fact, this cycle of line bundles is the only one contributing 3 to the pseudoheight. In other words, the first page of the spectral sequence converging to  $NHH^{\bullet}(\mathbb{E}, X)$  has a single term of total degree 3:

$$\operatorname{Ext}^{1}(E_{1}, E_{4}) \otimes \operatorname{Ext}^{1}(E_{4}, E_{7}) \otimes \operatorname{Ext}^{3}(E_{7}, S^{-1}(E_{1})) \subset \mathbf{E}_{-2.5}^{1}$$

	0	1	2	3	4	5	6	7	8
0	1	0	$q^2$	$q^2$	$\frac{q^2}{2q^2}$	$2q^2$	$2q^2$	$2q^2$	$3q^2$
1	1	$q^2$	$q^2$	$q+2q^2$	$2q^2$	$2q^2$	$2q^2$	$3q^2$	$3q^2$
2	1	0	0	$q^2$	$ \begin{array}{r} 2q \\ q+2q^2 \\ q^2 \\ 2q^2 \\ \hline q+2q^2 \\ q^2 \\ 2q^2 \\ 2q^2 \\ 2q^2 \\ q^2 \\ 2q^2 \\ q^2 $	$q^2$	$2q^2$	$2q^2$	$2q^2$
3	1	0	$q^2$	$q^2$	$q^2$	$2q^2$	$2q^2$	$2q^2$	$3q^2$
4	1	$q^2$	$q^2$	$q+2q^2$	$2q^2$	$2q^2$	$2q^2$	$3q^2$	$3q^2$
5	1	0	0	$q^2$	$q + 2q^2$	$q^2$	$2q^2$	$2q^2$	$2q^2$
6	1	0	$q^2$	$q^2$	$q^2$	$2q^2$	$2q^2$	$2q^2$	$3q^2$
7	1	$q^2$	$q^2$	$q+2q^2$	$2q^2$	$2q^2$	$2q^2$	$3q^2$	$3q^2$
8	1	0	0	$q^2$	$q + 2q^2$	$q^2$	$2q^2$	$2q^2$	$2q^2$

Table 8: Ext-table of an exceptional collection on the tertiary Burniat surface with  $K^2 = 3$ 

The differential  $d_1$  on the first page maps this term to the direct sum of the following three spaces

$$Ext^{2}(E_{1}, E_{7}) \otimes Ext^{3}(E_{7}, S^{-1}(E_{1}))$$
  

$$Ext^{1}(E_{1}, E_{4}) \otimes Ext^{4}(E_{4}, S^{-1}(E_{1}))$$
  

$$Ext^{1}(E_{4}, E_{7}) \otimes Ext^{4}(E_{7}, S^{-1}(E_{4}))$$

in  $E^{1}_{-1.5}$ .

If we can show that any of the maps are nonzero, then it follows that  $\mathbf{E}_{-2,5}^2 = 0$  and thus  $h(\mathbb{E}) = 4$ . We do not currently know of a practical method for computing nontrivial products in  $H^*B$ , but the following rough idea should work.

Observe that  $\operatorname{Ext}^{k}(E_{i}, E_{j}) = H^{k}(E_{i}^{-1} \otimes E_{j})$ . We write  $L_{ij} = E_{i}^{-1} \otimes E_{j}$ , and so we are actually checking injectivity of the cup product  $H^{1}(L_{14}) \otimes H^{1}(L_{47}) \xrightarrow{\cup_{X}} H^{2}(L_{17})$ . It is difficult to compute  $\cup_{X}$  explicitly on X, so we pushforward each  $L_{ij}$  to Y, and compare with the cup product  $\cup_{Y}$  on Y. We have

$$H^{1}(\varphi_{*}L_{14}) \otimes H^{1}(\varphi_{*}L_{47}) \xrightarrow{\cup_{Y}} H^{2}(\varphi_{*}L_{14} \otimes \varphi_{*}L_{47}) \xrightarrow{\mu} H^{2}(\varphi_{*}L_{17}),$$
(18)

where  $\mu$  is induced by the natural map  $\varphi_*L_{14} \otimes \varphi_*L_{47} \to \varphi_*(L_{14} \otimes L_{47})$ . By comparing the definition of  $\cup_Y$  using Čech complexes with that of  $\cup_X$ , we see that the composite map displayed in (18) is equal to  $\cup_X$ .

It remains to compute the cup product on Y, which can be done by chasing exact sequences, and to check that  $\mu$  is injective. We hope to finish this in the near future.

# 6 Keum–Naie surface with $K^2 = 4$

=

In this section we investigate a construction of Keum–Naie surfaces, which just fails to satisfy our assumptions from Sec. 3.1. The problem is that the maximal abelian cover  $A \to X$  does not factor through a Galois cover of the del Pezzo surface Y. Thus while we can describe the free part of Pic X in terms of reduced pullbacks of branch divisors, we can only describe an index 2 subgroup of Tors X using our approach. We have used various numerical exceptional collections to search for exceptional collections of maximal length on X, but without success.

#### 6.1 Construction and basic properties of the surface

Keum–Naie surfaces were discovered independently in [37] and [29] as branched double covers of Enriques surfaces with eight nodes. The connected component of the moduli space containing Keum–Naie surfaces has dimension 6, and the torsion group is  $(\mathbb{Z}/2)^3 \times \mathbb{Z}/4$ .

Following [9] and [3], we consider a special 2-dimensional subfamily of Keum–Naie surfaces. Each surface X in the subfamily admits a singular  $\mathbb{Z}/2 \times \mathbb{Z}/4$ -cover of  $\mathbb{P}^1 \times \mathbb{P}^1$  branched over eight lines, four in each ruling. The branch configuration is shown in Figure 6.

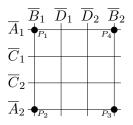


Figure 6: The Keum–Naie configuration with  $K^2 = 4$ 

The map  $\Phi: H_1(\mathbb{P}^1 \times \mathbb{P}^1 - \Delta, \mathbb{Z}) \to \mathbb{Z}/2 \times \mathbb{Z}/4$  governing the cover  $X \to \mathbb{P}^1 \times \mathbb{P}^1$  is described in the table below.

Г	$\overline{A}_1$	$\overline{A}_2$	$\overline{B}_1$	$\overline{B}_2$	$\overline{C}_1$	$\overline{C}_2$	$\overline{D}_1$	$\overline{D}_2$
$\Phi(\Gamma)$	h	3h	$g_1 + h$	$g_1 + 3h$	$g_1$	$g_1$	$g_1 + 2h$	$g_1 + 2h$
$\Psi'(\Gamma) - \Phi(\Gamma)$	0	$g_2$	$g_3$	$g_4$	0	$g_2$	$g_5$	$g_3 + g_4 + g_5$

In the table,  $g_i$  have order 2 while h has order 4. We see that the four divisors  $\overline{A}_i$ ,  $\overline{B}_i$  have inertia group  $\mathbb{Z}/4$  under  $\varphi$ , while the other branch divisors have inertia group  $\mathbb{Z}/2$ . Moreover, the four points  $P_1, \ldots, P_4$  correspond to  $\frac{1}{2}(1,1)$  singularities on X. We blow up the  $P_i$  to get a nonsingular cover of a weak del Pezzo surface Y of degree 4. Write  $\overline{E}_i$  for the (-1)-curve corresponding to  $P_i$ . We use the same labels for the strict transforms under the blow up, so  $\overline{A}_i$ ,  $\overline{B}_i$  are (-2)-curves on Y. Note that by formula (1) the curves  $\overline{E}_i$  have inertia group  $\mathbb{Z}/2$ .

The following proposition explains why we can not find exceptional collections on the Keum–Naie surface.

**Proposition 6.1** Let A be the maximal abelian cover of X. The composite map  $A \rightarrow X \rightarrow Y$  is not Galois.

**Proof** The torsion group of X is  $(\mathbb{Z}/2)^3 \times \mathbb{Z}/4$ , so if  $\psi: A \to Y$  is Galois, we have a surjective homomorphism  $\Psi: H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/2)^4 \times (\mathbb{Z}/4)^2$ . Now consider  $\Psi(\overline{E}_1) = \Psi(\overline{A}_1 + \overline{B}_1)$ . The order of  $\Psi(\overline{E}_i)$  must be 2, while the orders of  $\Psi(\overline{A}_1)$  and  $\Psi(\overline{B}_1)$  must be 4. We have similar requirements coming from the other  $\overline{E}_i$ . There is no surjective homomorphism  $\Psi$  satisfying these conditions.

We define A' to be the intermediate Galois cover  $A' \to X$  corresponding to the subgroup  $(\mathbb{Z}/2)^4$  of index 2 in Tors X, generated by  $g_2, \ldots, g_5$ . The composite map  $A' \to X \to Y$  is Galois, with defining map  $\Psi': H_1(Y - \Delta, \mathbb{Z}) \to \mathbb{Z}/4 \times (\mathbb{Z}/2)^5$  in the table above.

#### 6.2 The Picard group

**Lemma 6.1** The reduced pullbacks  $e_0 = A_1 + B_1 + E_1$ ,  $e_1 = A_2 + B_1 + E_2$ ,  $e_2 = A_1$ ,  $e_3 = A_2$ ,  $e_4 = B_1$ ,  $e_5 = B_2$  generate the Picard lattice of X with intersection matrix  $U \oplus \text{diag}(-1, -1, -1, -1)$ , where  $U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

**Proof** We first show that linear combinations of the quoted divisors generate Pic Y. We use the following linear equivalences on Y

$$\overline{C}_1 \sim \overline{C}_2 \sim \overline{A}_1 + \overline{E}_1 + \overline{E}_4 \sim \overline{A}_2 + \overline{E}_2 + \overline{E}_3$$
  
$$\overline{D}_1 \sim \overline{D}_2 \sim \overline{B}_1 + \overline{E}_1 + \overline{E}_2 \sim \overline{B}_2 + \overline{E}_3 + \overline{E}_4,$$
(19)

to express  $E_3$  and  $E_4$  in terms of the basis. The rest of the proof is similar to that of Lemma 3.3. Checking the intersection matrix requires some care with the definition of reduced pullback, because the inertia groups of  $\varphi$  are not uniform. For example, we actually have  $4e_0 = \varphi^*(\overline{A}_1 + 2\overline{E}_1 + \overline{B}_1)$  and  $4e_1 = \varphi^*(\overline{A}_2 + 2\overline{E}_2 + \overline{B}_1)$ , so that  $16e_0 \cdot e_1 = \deg(\varphi) \cdot 2$ , and hence  $e_0 \cdot e_1 = 1$ .

**Remark 6.1** By Lemma 6.1, we see that  $A_1$  is an elliptic curve of self-intersection -1 on X, even though  $\overline{A}_1$  is a (-2)-curve on Y. In other words, assumptions (A1) and (A2) hold for the Keum–Naie surface, but (A3) does not. Instead we get an isometry from the abstract lattice  $\mathbb{Z}^{1,5} \to \operatorname{Pic} X/\operatorname{Tors} X$ , under which the image of  $2e_0 + 2e_1 - \sum_{i=2}^5 e_i$  is the class of  $\mathcal{O}_X(K_X)$  modulo torsion.

We compute the coordinates of the reduced pullback of each irreducible branch component using the basis provided by Lemma 6.1.

Lemma 6.2 We have

 $\begin{aligned} \mathcal{O}_X(A_1) &= \mathcal{O}_X(0,0,1,0,0,0) & \mathcal{O}_X(D_1) &= \mathcal{O}_X(1,1,-1,-1,0,0)[0,1,0,0] \\ \mathcal{O}_X(A_2) &= \mathcal{O}_X(0,0,0,1,0,0) & \mathcal{O}_X(D_2) &= \mathcal{O}_X(1,1,-1,-1,0,0)[0,1,0,1] \\ \mathcal{O}_X(B_1) &= \mathcal{O}_X(0,0,0,0,1,0) & \mathcal{O}_X(E_1) &= \mathcal{O}_X(1,0,-1,0,-1,0) \\ \mathcal{O}_X(B_2) &= \mathcal{O}_X(0,0,0,0,0,1) & \mathcal{O}_X(E_2) &= \mathcal{O}_X(0,1,0,-1,-1,0) \\ \mathcal{O}_X(C_1) &= \mathcal{O}_X(1,1,0,0,-1,-1)[1,1,1,0] & \mathcal{O}_X(E_3) &= \mathcal{O}_X(1,0,0,-1,0,-1)[0,0,0,1] \\ \mathcal{O}_X(C_2) &= \mathcal{O}_X(1,1,0,0,-1,-1)[1,0,0,1] & \mathcal{O}_X(E_4) &= \mathcal{O}_X(0,1,-1,0,0,-1)[0,1,1,1] \end{aligned}$ 

**Proof** This is similar to Lemma 3.3. One minor point, in computing the multidegrees. The linear equivalences (19) on Y pull back to X giving numerical equivalences

$$C_1 \equiv C_2 \equiv 2A_1 + E_1 + E_4 \equiv 2A_2 + E_2 + E_3$$
$$D_1 \equiv D_2 \equiv 2B_1 + E_1 + E_2 \equiv 2B_2 + E_3 + E_4.$$

These can be rearranged to give

$$A_1 + B_1 + E_1 \equiv A_2 + B_2 + E_3, \ A_2 + B_1 + E_2 \equiv A_1 + B_2 + E_4,$$

which is used to express each reduced pullback in terms of the basis from Lemma 6.1.  $\Box$ 

**Lemma 6.3** By formula (8) and Lem. 6.1, 
$$\mathcal{O}_X(K_X) = \mathcal{O}_X(2, 2, -1, -1, -1, -1)$$
.

We conclude by noting that we have searched for, but not found any exceptional collections of maximal length on the Keum–Naie surface. It seems that our subgroup of Tors X is too small to allow us the freedom to find any. On a related note, exceptional collections of maximal length have not been discovered on the Burniat–Campedelli surface with  $K^2 = 2$  (see [1]), and some Beauville surfaces considered in [35]. Here the situation is more straightforward, because these surfaces fail to satisfy assumption (A1) and (A2) respectively.

# A Appendix: Acyclic bundles on the Kulikov surface

For reference, here are the acyclic line bundles on the Kulikov surface used in section 3.2.

L	$\mathcal{A}(L)$
$L_{1}^{-1}$	[0,0,0], [0,1,0], [1,1,0], [2,1,0], [2,2,0], [1,0,1], [2,0,1], [0,1,1], [1,1,1],
	[0, 2, 1], [2, 2, 1], [0, 1, 2], [1, 1, 2], [0, 2, 2]
$L_{2}^{-1}$	[0, 1, 0], [1, 1, 0], [2, 2, 0], [2, 0, 1], [0, 1, 1], [1, 1, 1], [2, 1, 1], [1, 2, 1], [2, 2, 1],
	[0, 0, 2], [1, 0, 2], [0, 1, 2], [1, 1, 2], [1, 2, 2]
$L_{3}^{-1}$	[0, 1, 0], [1, 1, 0], [1, 0, 1], [0, 1, 1], [1, 1, 1], [0, 2, 1], [1, 2, 1], [0, 0, 2], [2, 0, 2],
	[0, 1, 2], [1, 1, 2], [2, 1, 2], [0, 2, 2], [1, 2, 2]
$L_{4}^{-1}$	[0, 0, 0], [0, 1, 0], [2, 1, 0], [0, 2, 0], [2, 2, 0], [1, 0, 1], [2, 0, 1], [0, 1, 1], [1, 1, 1],
	[2, 1, 1], [0, 2, 1], [2, 2, 1], [1, 1, 2], [0, 2, 2], [2, 2, 2]
$L_{5}^{-1}$	[0, 1, 0], [1, 1, 0], [2, 2, 0], [1, 0, 1], [2, 0, 1], [0, 1, 1], [1, 1, 1], [0, 2, 1], [1, 2, 1],
	[2, 2, 1], [0, 0, 2], [0, 1, 2], [1, 1, 2], [0, 2, 2], [1, 2, 2]
$L_2^{-1} \otimes L_1$	[1,0,0], [2,0,0], [2,1,0], [0,1,1], [0,1,2], [2,1,2], [0,2,2]
$L_3^{-1} \otimes L_1$	[0,0,0], [1,0,0], [2,0,0], [1,1,0], [2,1,0], [2,2,0], [1,1,2], [2,1,2], [2,2,2]
$L_4^{-1} \otimes L_1$	[0,1,0], [1,1,0], [0,1,1], [1,1,1], [1,2,1], [0,0,2], [1,0,2], [2,0,2], [0,1,2],
	[1, 1, 2], [1, 2, 2]
$L_5^{-1} \otimes L_1$	[1,0,0], [2,0,0], [1,1,0], [2,1,0], [2,2,0], [0,1,1], [0,0,2], [0,1,2], [1,1,2],
	[2, 1, 2], [0, 2, 2], [2, 2, 2]
$L_3^{-1} \otimes L_2$	[1,0,1], [1,1,1], [2,1,1], [2,0,2], [1,1,2], [2,1,2], [1,2,2]
$L_4^{-1} \otimes L_2$	[0,0,0], [0,1,0], [1,1,0], [1,0,1], [0,1,1], [1,1,1], [0,2,1], [2,0,2], [0,1,2],
	[1, 1, 2], [0, 2, 2]
$L_5^{-1} \otimes L_2$	[0, 1, 0], [1, 0, 1], [0, 1, 1], [1, 1, 1], [2, 1, 1], [0, 2, 1], [0, 0, 2], [2, 0, 2], [1, 1, 2],
	[2, 1, 2], [0, 2, 2], [1, 2, 2]
$L_4^{-1} \otimes L_3$	[0, 0, 0], [0, 1, 0], [1, 1, 0], [2, 2, 0], [2, 0, 1], [0, 1, 1], [1, 1, 1], [2, 2, 1], [1, 0, 2],
	[0, 1, 2], [1, 1, 2]
$L_5^{-1} \otimes L_3$	[0, 1, 0], [1, 1, 0], [2, 1, 0], [2, 2, 0], [2, 0, 1], [1, 1, 1], [2, 1, 1], [1, 2, 1], [0, 0, 2],
	[1, 0, 2], [0, 1, 2], [1, 2, 2]
$L_5^{-1} \otimes L_4$	[1,0,0], [2,0,0], [1,1,0], [2,2,0], [0,0,2], [0,1,2], [2,1,2], [2,2,2]

### **B** Appendix: Burniat surface data

#### **B.1** Primary Burniat surface

The maps determining the covers  $A \to X \to Y$  are  $\Phi: H_1(Y - \Delta, \mathbb{Z}) \to G$  and  $\Psi: H_1(Y - \Delta, \mathbb{Z}) \to G \oplus T$ . We tabulate them below.

							$\overline{C}_0$		
$\Phi(\Gamma)$ $\Psi(\Gamma) - \Phi(\Gamma)$	$g_1$	$g_1$	$g_1$	$g_2$	$g_2$	$g_2$	$g_1 + g_2$	$g_1 + g_2$	$g_1 + g_2$
$\Psi(\Gamma) - \Phi(\Gamma)$	0	$g_3$	$g_4$	0	$g_5$	$g_6$	$g_7$	$g_8$	$\sum_{i=3}^{8} g_i$

The images of the exceptional curves are obtained in the usual way from equation (1) of Sec. 2,

$$\Phi(\overline{E}_1) = g_2, \ \Phi(\overline{E}_2) = g_1 + g_2, \ \Phi(\overline{E}_3) = g_1, \text{ etc.}$$

The following reduced pullbacks are a basis for  $\operatorname{Pic} X/\operatorname{Tors} X$ ,

$$e_0 = C_0 + E_1 + E_3, \ e_1 = E_1, \ e_2 = E_2, \ e_3 = E_3.$$

According to these generators, the coordinates on  $\operatorname{Pic} X$  are

		Mult	idegr	Torsion	
$\mathcal{O}_X(A_0)$	1	-1	-1	0	[1, 1, 0, 0, 0, 1]
$\mathcal{O}_X(A_1)$	1	-1	0	0	[1, 0, 0, 0, 1, 0]
$\mathcal{O}_X(A_2)$	1	-1	0	0	$\left[0,1,0,0,1,0\right]$
$\mathcal{O}_X(B_0)$	1	0	-1	-1	[0, 0, 1, 1, 0, 1]
$\mathcal{O}_X(B_1)$	1	0	-1	0	$\left[0,0,1,0,1,0\right]$
$\mathcal{O}_X(B_2)$	1	0	-1	0	[0, 0, 0, 1, 1, 0]
$\mathcal{O}_X(C_0)$	1	-1	0	-1	0
$\mathcal{O}_X(C_1)$	1	0	0	-1	[0, 0, 0, 0, 1, 1]
$\mathcal{O}_X(C_2)$	1	0	0	-1	[0, 0, 0, 0, 1, 0]

and  $\mathcal{O}_X(K_X) = \mathcal{O}_X(3, -1, -1, -1)[0, 0, 0, 0, 0, 1]$  by equation (8).

# **B.2** Secondary Burniat surface with $K^2 = 5$

The map  $\Phi: H_1(\mathbb{P}^2 - \Delta, \mathbb{Z}) \to (\mathbb{Z}/2)^2$  is the same as for the primary Burniat surface, but the triple point at  $P_4$  changes  $\Psi$ . Indeed, we have  $\Psi_5(\overline{A}_1 + \overline{B}_1 + \overline{C}_2) = 0$ , which kills one factor of the torsion group. Thus the maximal abelian cover  $\psi_5: A \to Y$  is determined by

and the torsion group is generated by  $g_3^*, \ldots, g_7^*$ .

The following reduced pullbacks are a basis for the free part of  $\operatorname{Pic} X$ 

$$e_0 = C_0 + E_1 + E_3, \ e_1 = E_1, \ e_2 = E_2, \ e_3 = E_3, \ e_4 = C_0 - C_2 + E_1,$$

with intersection form diag(1, -1, -1, -1, -1). Note that  $\overline{E}_4$  is not a branch divisor, which explains the funny choice for  $e_4$ .

The coordinates of  $\operatorname{Pic} X$  according to this basis are:

		M	ultide	Torsion		
$\mathcal{O}_X(A_0)$	1	-1	-1	0	0	[1, 1, 0, 0, 0]
$\mathcal{O}_X(A_1)$	1	-1	0	0	-1	$\left[1,0,0,0,0 ight]$
$\mathcal{O}_X(A_2)$	1	-1	0	0	0	$\left[0,1,0,0,1\right]$
$\mathcal{O}_X(B_0)$	1	0	-1	-1	0	$\left[0,0,1,1,0 ight]$
$\mathcal{O}_X(B_1)$	1	0	-1	0	-1	$\left[0,0,1,0,0 ight]$
$\mathcal{O}_X(B_2)$	1	0	-1	0	0	$\left[0,0,0,1,1\right]$
$\mathcal{O}_X(C_0)$	1	-1	0	-1	0	0
$\mathcal{O}_X(C_1)$	1	0	0	-1	0	$\left[0,0,0,0,1\right]$
$\mathcal{O}_X(C_2)$	1	0	0	-1	-1	0

Thus  $\mathcal{O}_X(K_X) = \mathcal{O}_X(3, -1, -1, -1, -1)[0, 0, 0, 0, 1].$ 

# **B.3** Secondary Burniat surfaces with $K^2 = 4$

The maps  $\Psi_4, \Psi_4^n: H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/2)^6$  determining respectively the non-nodal and nodal Burniat surfaces, differ from one another slightly. We tabulate them below.

							$\overline{C}_0$		
$     \Psi_4(\Gamma) - \Phi(\Gamma)      \Psi_4^n(\Gamma) - \Phi(\Gamma) $	0	$g_3$	$g_4$	0	$g_5$	$g_6$	0	$g_4 + g_6$	$g_3 + g_5$
$\Psi_4^n(\Gamma) - \Phi(\Gamma)$	0	$g_3$	$g_4$	0	$g_5$	$ g_6 $	$g_3 + g_4$	$g_3 + g_6$	$g_3 + g_5$

The restriction imposed by  $P_5$  is  $\Psi_4(\overline{A}_2 + \overline{B}_2 + \overline{C}_1) = 0$  in the non-nodal case, and  $\Psi_4^n(\overline{A}_1 + \overline{B}_2 + \overline{C}_1) = 0$  in the nodal case. Either way,  $g_7$  is eliminated, so the torsion group is  $(\mathbb{Z}/2)^4$ , generated by  $g_3^*, \ldots, g_6^*$ .

We extend the basis chosen for the free part of  $Pic(X_5)$ . The basis is the same for non-nodal and nodal surfaces

$$e_0 = C_0 + E_1 + E_3, \ e_1 = E_1, \ e_2 = E_2, \ e_3 = E_3,$$
  
 $e_4 = C_0 - C_2 + E_1, \ e_5 = B_0 - B_2 + E_3.$ 

Coordinates for non-nodal surface:

			Torsion				
$\mathcal{O}_X(A_0)$	1	-1	-1	0	0	0	[1, 1, 0, 0]
$\mathcal{O}_X(A_1)$	1	-1	0	0	-1	0	$\left[1,0,0,0\right]$
$\mathcal{O}_X(A_2)$	1	-1	0	0	0	-1	$\left[0,1,1,0\right]$
$\mathcal{O}_X(B_0)$	1	0	-1	-1	0	0	$\left[0,0,1,1\right]$
$\mathcal{O}_X(B_1)$	1	0	-1	0	-1	0	$\left[0,0,1,0\right]$
$\mathcal{O}_X(B_2)$	1	0	-1	0	0	-1	$\left[0,0,1,1 ight]$
$\mathcal{O}_X(C_0)$	1	-1	0	-1	0	0	0
$\mathcal{O}_X(C_1)$	1	0	0	-1	0	-1	$\left[0,0,1,0\right]$
$\mathcal{O}_X(C_2)$	1	0	0	-1	-1	0	0

Coordinates for nodal surface are the same (with same multidegrees) except for the following:

		Μ	Torsion				
$\mathcal{O}_X(A_1)$	1	-1	0	0	-1	-1	[1, 0, 1, 0]
$\mathcal{O}_X(A_2)$	1	-1	0	0	0	0	[1, 0, 1, 0] [0, 1, 0, 0]

In both cases,  $\mathcal{O}_X(K_X) = \mathcal{O}(3, -1, -1, -1, -1, -1)[0, 0, 1, 0].$ 

# **B.4** Tertiary Burniat surfaces with $K^2 = 3$

The map  $\Psi_3: H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/2)^5$  is similar to  $\Psi_4^n$ , with an extra restriction due to the triple point at  $P_6: \Psi(\overline{A}_2 + \overline{B}_1 + \overline{C}_1) = 0$ . This gives

The basis of the free part of  $Pic(X_3)$  extends that of the secondary Burniat surfaces:

$$e_0 = C_0 + E_1 + E_3, \ e_1 = E_1, \ e_2 = E_2, \ e_3 = E_3,$$
  
 $e_4 = C_0 - C_2 + E_1, \ e_5 = B_0 - B_2 + E_3, \ e_6 = A_0 - A_2 + E_2.$ 

The coordinates for each reduced pullback are

_	Multidegree							Torsion
$\mathcal{O}_X(A_0)$	1	-1	-1	0	0	0	0	[1, 1, 0]
$\mathcal{O}_X(A_1)$	1	-1	0	0	-1	-1	0	[1,0,1]
$\mathcal{O}_X(A_2)$	1	-1	0	0	0	0	-1	[1, 1, 0]
$\mathcal{O}_X(B_0)$	1	0	-1	-1	0	0	0	[0,0,1]
$\mathcal{O}_X(B_1)$	1	0	-1	0	-1	0	-1	[1, 0, 1]
$\mathcal{O}_X(B_2)$	1	0	-1	0	0	-1	0	[0,0,1]
$\mathcal{O}_X(C_0)$	1	-1	0	-1	0	0	0	0
$\mathcal{O}_X(C_1)$	1	0	0	-1	0	-1	-1	[1, 0, 1]
$\mathcal{O}_X(C_2)$	1	0	0	-1	-1	0	0	0

Thus  $\mathcal{O}_X(K_X) = \mathcal{O}_X(3, -1, -1, -1, -1, -1, -1)[1, 0, 1].$ 

## C Appendix: Beauville surfaces

In this appendix we apply our methods to two Beauville surfaces. Each is an abelian cover of  $\mathbb{P}^1 \times \mathbb{P}^1$  satisfying assumptions (A). Thus we may write any line bundle on X as  $\mathcal{O}_X(a,b)(\tau)$ . We recall some facts about numerical exceptional collections on such abelian covers of  $\mathbb{P}^1 \times \mathbb{P}^1$  from [23].

# **Lemma C.1** 1. A sequence $\mathcal{O}, L_1, L_2, L_3$ of line bundles on X is numerically exceptional if and only if it belongs to one of the four numerical types:

where c is any integer.

2. For fixed c, the dihedral group action on numerically exceptional collections (see Sec. 3.1.7) has two orbits:

$$\begin{split} I_c &\to I V_c \to I_{-c} \to I V_{-c} \to I_c, \\ I I_c &\to I I I_c \to I I_{-c} \to I I I_{-c} \to I I_c. \end{split}$$

As explained in Sec. 3.1.7, the Weyl group of  $\mathbb{P}^1 \times \mathbb{P}^1$  acts on numerical exceptional collections on X, interchanging  $I_c$  with  $\Pi_c$  and  $\Pi_c$  with  $I_c$ . The difference is that the Weyl group action does not lift to exceptional collections, so there are two orbits. Thus we need only consider numerically exceptional collections of line bundles of type  $I_c$  or  $\Pi_c$ .

### C.1 $(\mathbb{Z}/3)^2$ -Beauville surface

This surface was discovered by Beauville and first described in [20], but for similar examples see also [8]. Let X be a  $(\mathbb{Z}/3)^2$ -cover of  $Y = \mathbb{P}^1 \times \mathbb{P}^1$  branched over eight lines, four in each ruling. We label these branch divisors  $\Delta_1, \ldots, \Delta_8$  (see Figure 7). Clearly, the branch locus has two free parameters, and in fact, the  $(\mathbb{Z}/3)^2$ -Beauville surfaces form a two dimensional irreducible connected component of the moduli space [8], so  $h^1(T_X) = 2$  and  $h^2(T_X) = 8$ .

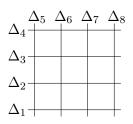


Figure 7: The branch locus for a Beauville surface with  $G = (\mathbb{Z}/3)^2$ 

The cover  $\varphi \colon X \to Y$  and maximal abelian cover  $\psi \colon A \to Y$  are determined by the maps  $\Phi \colon H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/3)^2$  and  $\Psi \colon H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/3)^6$  as shown in the table

	u	1					$\Delta_7$	1
$\Phi(D)$	$g_1$	$g_2$	$2g_1$	$2g_2$	$g_1 + g_2$	$g_1 + 2g_2$	$2g_1 + 2g_2$	$\frac{2g_1 + g_2}{2(g_4 + g_5 + g_6)}$
$\Psi(D) - \Phi(D)$	0	0	$g_3$	$2g_3$	$g_4$	$g_5$	$g_6$	$2(g_4 + g_5 + g_6)$

The small quotient group  $G \cong (\mathbb{Z}/3)^2$  is generated by  $g_1, g_2$  and T is generated by  $g_3, \ldots, g_6$ .

**Remark C.1** The original construction [20] of X is to take the free  $(\mathbb{Z}/3)^2$ -quotient of a product  $C_1 \times C_2$  of two special curves of genus 6. This realises a subgroup  $(\mathbb{Z}/3)^2$  of the full torsion group Tors  $X = (\mathbb{Z}/3)^4$ . Using this quotient construction, many exceptional collections of line bundles on X with numerical type  $I_1$  were constructed and studied in [35]. We use abelian covers to completely enumerate all exceptional collections of line bundles on X, of any numerical type.

Let  $D_i$  denote the reduced pullback of  $\Delta_i$ . Then the torsion free part of Pic X is based by  $D_1$  and  $D_5$ , with intersection form  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

**Lemma C.2** The coordinates of each  $\mathcal{O}_X(D_i)$  are

$\mathcal{O}_X(D_1) = \mathcal{O}_X(1,0)$	$\mathcal{O}_X(D_5) = \mathcal{O}_X(0,1)$
$\mathcal{O}_X(D_2) = \mathcal{O}_X(1,0)[1,0,1,0]$	$\mathcal{O}_X(D_6) = \mathcal{O}_X(0,1)[0,1,2,0]$
$\mathcal{O}_X(D_3) = \mathcal{O}_X(1,0)[0,2,2,1]$	$\mathcal{O}_X(D_7) = \mathcal{O}_X(0,1)[0,1,0,2]$
$\mathcal{O}_X(D_4) = \mathcal{O}_X(1,0)[1,2,2,1]$	$\mathcal{O}_X(D_8) = \mathcal{O}_X(0,1)[0,1,0,0]$

With this basis, using (8) we have

$$\mathcal{O}_X(K_X) = \mathcal{O}_X(2,2)[1,2,2,2].$$
 (20)

#### C.1.1 The semigroup of effective divisors

In this section we prove:

**Proposition C.1** The semigroup of effective divisors on X is generated by  $D_1, \ldots, D_8$ .

This should be compared with the results of [1] on primary Burniat surfaces and the discussion of Sec. 3.1.6.

We introduce some notation. Define  $\mathfrak{E}$  to be the semigroup generated by  $D_1, \ldots, D_8$ . It is convenient to consider  $\mathfrak{E}$  as the image of the multiplicative semigroup M of monomials in the bigraded polynomial ring  $\mathbb{Z}[x_1, x_2, x_3, x_4, y_1, y_2, y_3, y_4]$  under the homomorphism  $x_i \mapsto$  $D_i, y_i \mapsto D_{4+i}$ . The  $x_i$  have bidegree (1,0), and  $y_i$  have bidegree (0,1). We abuse notation to consider monomials in M as elements of  $\mathfrak{E}$  when appropriate. Let  $t: \mathfrak{E} \to \text{Tors } X$  be the semigroup homomorphism defined in Sec. 3.1.6, sending each  $D_i$  to its associated torsion twist according to Lem. C.2.

Since  $K_X$  is ample, we have

**Lemma C.3** If  $\mathcal{O}(a,b)(\tau)$  is an effective line bundle on X, then  $a \ge 0$  and  $b \ge 0$ .

We analyse the possible values for a and b.

**Lemma C.4** If  $a, b \ge 2$ , then  $\mathcal{O}_X(a, b)(\tau)$  is effective for all  $\tau$  in Tors X, unless a = b = 2and  $\tau = [1, 2, 2, 2]$ .

**Proof** Consider the set  $M_{(2,2)}$  of monomials of bidegree (2, 2). We use the computer [19] to check that the image of  $M_{(2,2)}$  under t is precisely Tors  $X - \{[1, 2, 2, 2]\}$ . Moreover, the missing torsion twist is that of  $K_X$ , which is not effective, because  $p_q(X) = 0$ .

On the other hand, we also check that  $t(M_{(3,2)}) = t(M_{(2,3)}) = \text{Tors } X$ , so every line bundle of bidegree (3, 2) or (2, 3) is effective. Now for any  $a \ge 3$ , we see that  $x_1^{a-3}y_1^{b-2}M_{(3,2)}$ gives a global section for each  $\mathcal{O}_X(a,b)(\tau)$ . A similar argument works for  $b \ge 3$ .

It remains to check what happens if  $a \leq 1$  or  $b \leq 1$ . We suppose the latter (the case  $a \leq 1$  is similar).

**Lemma C.5** Suppose  $b \leq 1$ . The line bundle  $\mathcal{O}_X(a,b)(\tau)$  is effective if and only if there is a monomial m in  $M_{(a,b)}$  such that  $t(m) = \tau$ .

**Proof Case** b = 0. For a < 6, we check effectivity of each line bundle directly. This is a finite number of line bundles, and so we use the computer [19]. Note that if a < a', then  $t(M_{(a,0)}) \subseteq t(M_{(a',0)})$ . Moreover, for  $a \ge 6$ ,  $t(M_{(a,0)})$  stabilises to  $H = \{[\alpha, \beta, \gamma, 2\beta] : \alpha, \beta, \gamma \in \mathbb{Z}/3\}$ . Indeed, H is a subgroup of Tors X, so it is closed under composition of torsion elements. Thus if  $a \ge 6$  then  $\mathcal{O}_X(a,0)(\tau)$  is effective for any  $\tau$  in H.

Now fix  $a \ge 6$  and  $\tau$  in Tors X - H. We show that  $\mathcal{O}_X(a,0)(\tau)$  is not effective. Write a = 6 + 3j + k where  $j \ge 0$  and  $0 \le k \le 2$ . Then by Lemma C.2,

$$\varphi_*\mathcal{O}_X(a,0)(\tau) = \varphi_*L(kD_1) \otimes \mathcal{O}_Y(j\Delta_1) = \varphi_*L(kD_1) \otimes \mathcal{O}_Y(j,0),$$

where  $L = \mathcal{O}_X(6,0)(\tau)$ . Thus if each summand of  $\varphi_*L(kD_1)$  for  $0 \le k \le 2$  has negative degree in the second factor, we see that  $\mathcal{O}_X(a,0)(\tau)$  can not be effective for any  $a \ge 6$ . We have again reduced the problem to checking a finite number of line bundles, and this is done by computer in [19].

**Case** b = 1. The argument is similar to the previous case, so we give only a sketch. First check a < 4 directly. Then for  $a \ge 4$ , the image  $t(M_{(a,1)})$  stabilises to  $H \cup [0,1,0,0]H$ , the union of two cosets of H in Tors X. This can be seen directly from Lemma C.2. The other torsion twists are ineffective for any  $a \ge 4$ , by a similar computation to that of case b = 0 above.

#### C.1.2 Acyclic line bundles

Now, by the Riemann-Roch theorem, the numerically acyclic line bundles on X are  $\mathcal{O}(1,k)(\tau)$  and  $\mathcal{O}(k,1)(\tau)$ . Thus we may use Proposition C.1 to find all acyclic line bundles.

**Proposition C.2** For  $k \ge 4$  or  $k \le -2$ , the acyclic sets on X are

$$\mathcal{A}(\mathcal{O}_X(1,k)) = \mathcal{S}, \quad \mathcal{A}(\mathcal{O}_X(k,1)) = \mathcal{T},$$

where

$$\mathcal{S} = \{ [2, \alpha, \beta, \gamma] : \alpha, \beta, \gamma \in \mathbb{Z}/3 \}, \ \mathcal{T} = \{ [\alpha, \beta, \gamma, 2 - \beta] : \alpha, \beta, \gamma \in \mathbb{Z}/3 \}$$

**Proof** We prove that  $\mathcal{A}(\mathcal{O}(k,1)) = \mathcal{T}$  for  $k \ge 4$ . The acyclic set  $\mathcal{A}(\mathcal{O}(1,k))$  for  $k \ge 4$  can be calculated in the same way, and the negative cases follow by Serre duality.

Fix an integer  $k \ge 4$ . Then by Lem. C.5,  $\mathcal{O}_X(k,1)(\tau)$  is not effective if and only if  $\tau$  is an element of Tors  $X - (H \cup [0,1,0,0]H) = \mathcal{T}$ . Now by Serre duality and (20),

$$H^{2}(\mathcal{O}_{X}(k,1)[\alpha,\beta,\gamma,2-\beta]) = H^{0}(\mathcal{O}_{X}(2-k,1)([1-\alpha,2-\beta,2-\gamma,\beta]),$$

which also vanishes by Lem. C.5, or if  $k \ge 3$ , we can use Lem. C.3. Thus  $\mathcal{A}(\mathcal{O}_X(1,k)) = \mathcal{T}$  for all  $k \ge 4$ .

In fact, the same proof shows that  $S \subset \mathcal{A}(\mathcal{O}_X(1,k))$  and  $\mathcal{T} \subset \mathcal{A}(\mathcal{O}_X(k,1))$  for any integer k. For values of k between -1 and 3, there are a few extra acyclic twists, because the image of t has not yet stabilised to its maximum. These can be checked directly, using Prop. C.1 as before.

L	$\mathcal{A}(L)$
	S, [1, 1, 1, 0], [0, 1, 2, 0]
$\mathcal{O}_X(1,0)$	$ \begin{array}{c} \mathcal{S}, [0,2,0,0], [1,2,0,0], [1,1,1,0], [0,2,1,0], [1,2,1,0], [0,1,2,0], \\ [0,2,2,0], [1,2,2,0], [1,0,1,1], [0,0,2,1], [1,2,1,2], [0,2,2,2] \end{array} $
	[0, 2, 2, 0], [1, 2, 2, 0], [1, 0, 1, 1], [0, 0, 2, 1], [1, 2, 1, 2], [0, 2, 2, 2]
	$\mathcal{S} \cup \mathcal{T}, [1,0,0,0], [0,0,1,0], [1,2,1,2], [0,2,2,2]$
$\mathcal{O}_X(1,2)$	$\mathcal{S}, [1, 0, 0, 0], [0, 0, 1, 0], [1, 2, 0, 1], [0, 2, 1, 1], [0, 0, 0, 2], [1, 0, 0, 2], [1, 1, 0, 2], [1, 1, 0, 1, 2], [0, 1, 1, 2], [0, 0, 2, 2], [1, 0, 2, 2]$
	[1, 1, 0, 2], [0, 0, 1, 2], [1, 0, 1, 2], [0, 1, 1, 2], [0, 0, 2, 2], [1, 0, 2, 2]
$\mathcal{O}_X(1,3)$	$\mathcal{S}, [1, 1, 0, 2], [0, 1, 1, 2]$

In the other direction,

L	$\mathcal{A}(L)$
$\mathcal{O}_X(-1,1)$	$\mathcal{T}, [1, 0, 2, 1], [1, 1, 1, 2]$
$\mathcal{O}_X(0,1)$	$ \begin{array}{c} \mathcal{T}, [1,0,0,0], [2,0,0,0], [1,1,0,0], [2,1,2,0], [2,0,0,1], [2,2,1,1], \\ [1,0,2,1], [1,2,2,1], [1,1,1,2], [1,2,1,2], [2,2,1,2], [2,1,2,2] \end{array} $
	[1, 0, 2, 1], [1, 2, 2, 1], [1, 1, 1, 2], [1, 2, 1, 2], [2, 2, 1, 2], [2, 1, 2, 2]
$\mathcal{O}_X(2,1)$	$ \mathcal{T}, [2, 1, 0, 0], [0, 0, 1, 0], [2, 0, 1, 0], [0, 1, 1, 0], [0, 0, 0, 1], [0, 2, 0, 1], \\ [2, 0, 1, 1], [2, 2, 2, 1], [2, 1, 0, 2], [0, 1, 2, 2], [0, 2, 2, 2], [2, 2, 2, 2] $
	[2, 0, 1, 1], [2, 2, 2, 1], [2, 1, 0, 2], [0, 1, 2, 2], [0, 2, 2, 2], [2, 2, 2, 2]
$\mathcal{O}_X(3,1)$	$\mathcal{T}, [0, 1, 1, 0], [0, 2, 0, 1]$

Many exceptional collections on X of numerical type  $I_1$  and with formal  $A_{\infty}$ -algebra were constructed in [35]. We can classify all exceptional collections of line bundles on X, of any numerical type. The enumeration is summarised below, but see [19] for details.

**Proposition C.3** Exceptional collections of line bundles on the  $\mathbb{Z}/3$ -Beauville surface are enumerated in the table below. The integer  $c \geq 0$  determines the numerical type of the exceptional collection, either  $I_c$  or  $H_c$ . The number of type  $I_c$  is equal to the number of type  $\Pi_c$ .

<i>C</i>	0	1	2	$\geq 3$
$#(Exceptional \ collections)$	6661	3613	2213	$2187 = 3^7$

We display a sample exceptional collection of type  $I_1$ 

 $\mathcal{O}_X, \ \mathcal{O}_X(-1,0)[0,1,0,0], \ \mathcal{O}_X(0,-1)[2,2,0,0], \ \mathcal{O}_X(-1,-1)[1,0,1,0]$ 

	0	1	2	3
0	1	$q^2$	$q^2$	$4q^2$
1	1	0	0	$6q^{2}$
2	1	$2q^2$	$6q^2$	$8q^2$
3	1	$4q^2$	$6q^{2}$	$6q^2$

Table 9: Ext-table of an exceptional collection on the  $(\mathbb{Z}/3)^2$ -Beauville surface

Table 9 is the Ext-table of this exceptional collection. We see that there are no nonzero  $\text{Ext}^1$ -groups. Hence the  $A_{\infty}$ -algebra is formal, and the height is 4. Thus the Hochschild cohomology of the corresponding quasiphantom category is  $HH^0(\mathcal{A}) = \mathbb{C}$ ,  $HH^1(\mathcal{A}) = 0$ ,  $HH^2(\mathcal{A}) = \mathbb{C}^2$ ,  $HH^3(\mathcal{A}) \supset \mathbb{C}^8$ .

#### C.2 $(\mathbb{Z}/5)^2$ -Beauville surface

We consider the  $(\mathbb{Z}/5)^2$ -Beauville surface, which was first described in [10] and [20]. Exceptional collections of line bundles on this surface were classified by Galkin and Shinder [23], which was a major influence on our overall approach. We recover the results of [23] as a test case for our methods.

This time X is a  $(\mathbb{Z}/5)^2$ -cover of  $Y = \mathbb{P}^1 \times \mathbb{P}^1$  branched over six lines, three in each ruling. This branch configuration is rigid, and in fact the moduli space of such Beauville surfaces is zero dimensional and smooth. The torsion group of X is Tors  $X \cong (\mathbb{Z}/5)^2$ , which is fully realised by the standard construction of X as a free  $(\mathbb{Z}/5)^2$ -quotient of  $C_1 \times C_2$ , where  $C_i$  are Fermat quintic curves. Thus  $C_1 \times C_2$  is the maximal abelian cover A (this description of A is not necessary for our approach).

The maps  $\Phi: H_1(Y - \Delta, \mathbb{Z}) \to (\mathbb{Z}/5)^2$  and  $\Psi: H_1(Y - B, \mathbb{Z}) \to \widetilde{G} \cong (\mathbb{Z}/5)^4$  determining the covers are defined in the following table

			$\Delta_3$			
$\Phi(D)$	$g_1$	$g_2$	$4g_1 + 4g_2$	$g_1 + 2g_2$	$3g_1 + 4g_2$	$g_1 + 4g_2$
$\Psi(D) - \Phi(D)$	0	0	0	$g_3$	$g_4$	$4g_3 + 4g_4$

The reduced pullbacks  $D_1$  (respectively  $D_4$ ) of  $\Delta_1$  (resp.  $\Delta_4$ ) are a basis for the free part of Pic X. As usual, the other reduced pullbacks may be written in terms of this basis, and we have

Lemma C.6

$$\begin{aligned} \mathcal{O}_X(D_1) &= \mathcal{O}_X(1,0), \\ \mathcal{O}_X(D_2) &= \mathcal{O}_X(1,0)[1,1], \\ \mathcal{O}_X(D_3) &= \mathcal{O}_X(1,0)[4,2], \end{aligned} \qquad \begin{array}{l} \mathcal{O}_X(D_5) &= \mathcal{O}_X(0,1), \\ \mathcal{O}_X(D_5) &= \mathcal{O}_X(0,1)[1,4], \\ \mathcal{O}_X(D_6) &= \mathcal{O}_X(0,1)[1,0], \end{aligned}$$

By (8), we have

$$\mathcal{O}_X(K_X) = \mathcal{O}_X(2,2)[3,3]$$

**Lemma C.7** The semigroup  $\mathfrak{E}$  of effective divisors on X is the set of positive integer linear combinations of  $D_1, \ldots, D_6$ .

The proof of this Lemma is similar to that of Prop. C.1.

As in Sec. 3.1.6, we define a semigroup homomorphism  $t: \mathfrak{E} \to \text{Tors } X$  using the torsion twists from Lem. C.6. Using Lem. C.7, we list all acyclic line bundles on X in the following table. We note that the restrictions  $t|_{\mathfrak{E}_{(1,j)}}$  and  $t|_{\mathfrak{E}_{(k,1)}}$  are surjective for  $j \geq 5$  and  $k \geq 4$ .

L	$ \mathcal{A}(L) $
$\mathcal{O}_X(1,-2)$	[2,0]
$\mathcal{O}_X(1,-1)$	[2,0],[3,0],[3,4]
$\mathcal{O}_X(1,0)$	[2,0], [3,0], [4,0], [0,1], [4,3], [3,4], [4,4]
$\mathcal{O}_X(1,1)$	[3,0], [4,0], [0,3], [4,3], [0,4], [3,4], [4,4]
$\mathcal{O}_X(1,2)$	[4,0], [3,2], [0,3], [1,3], [4,3], [0,4], [4,4]
$\mathcal{O}_X(1,3)$	[0,3],[1,3],[0,4]
$\mathcal{O}_X(1,4)$	[1,3]
$\mathcal{O}_X(-1,1)$	[4,2]
$\mathcal{O}_X(0,1)$	[4, 2], [0, 3], [4, 3], [3, 4]
$\mathcal{O}_X(2,1)$	[3,0],[4,0],[4,1],[0,4]
$\mathcal{O}_X(3,1)$	[4,1]

Up to choices of coordinates, these are precisely the acyclic line bundles listed in [23], and there are no others. It seems that the rigidity of X is reflected in the small number of acyclic line bundles.

Using this list of acyclic line bundles, and Lemma C.1, we can classify all exceptional collections of line bundles of length four on X. Here is the complete list, which form two

orbits, replicating results of [23].

$$\begin{cases} I_{-1} & \mathcal{O}, \quad \mathcal{O}(-1,0)[0,4], \quad \mathcal{O}(-2,-1)[1,0], \quad \mathcal{O}(-3,-1)[1,4] \\ IV_{-1} & \mathcal{O}, \quad \mathcal{O}(-1,-1)[1,1], \quad \mathcal{O}(-2,-1)[1,0], \quad \mathcal{O}(-1,-2)[2,3] \\ I_{1} & \mathcal{O}, \quad \mathcal{O}(-1,0)[0,4], \quad \mathcal{O}(0,-1)[1,2], \quad \mathcal{O}(-1,-1)[1,1] \\ IV_{1} & \mathcal{O}, \quad \mathcal{O}(1,-1)[1,3], \quad \mathcal{O}(0,-1)[1,2], \quad \mathcal{O}(-1-2)[2,3] \\ \\ \begin{cases} II_{0} & \mathcal{O}, \quad \mathcal{O}(0,-1)[1,2], \quad \mathcal{O}(-1,-1)[1,1], \quad \mathcal{O}(-1,-2)[2,3] \\ III_{0} & \mathcal{O}, \quad \mathcal{O}(-1,0)[0,4], \quad \mathcal{O}(-1,-1)[1,1], \quad \mathcal{O}(-2,-1)[1,0] \end{cases} \end{cases}$$

We do not continue the analysis of quasi-phantoms, since it appears in [23]. We only verify that our results are consistent.

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