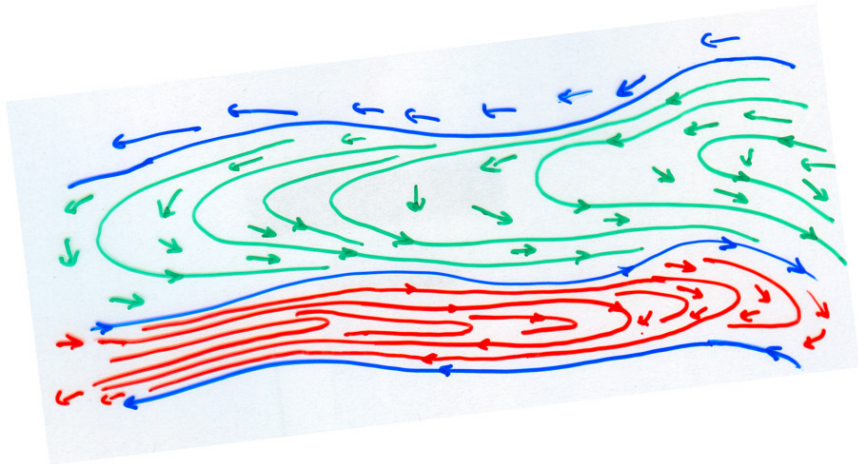


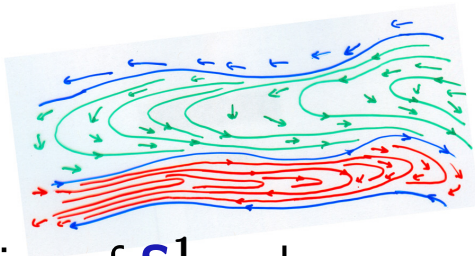
An example of a foliation in the plane

\mathcal{F} = integral curves of a vector field.

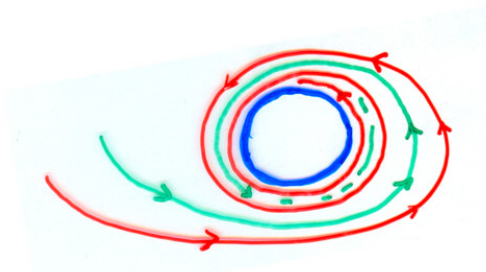


Examples of foliations and laminations in the plane

\mathcal{F} = integral curves of a vector field.

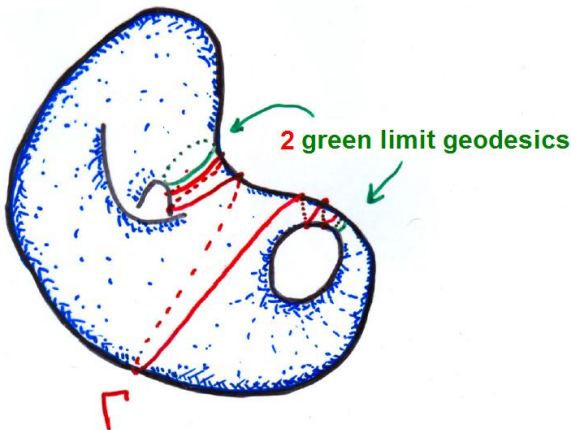


\mathcal{L} = union of S^1 and green and red spirals



Theorem (Geodesic lamination closure theorem)

If Δ is a Riemannian surface and $\Gamma \subset \Delta$ is a complete embedded geodesic, then the closure $\overline{\Gamma}$ is a geodesic lamination of Δ .



Proof of the Geodesic Closure Theorem

Step 1 The limit set $\mathbf{L}(\gamma)$ is a union of geodesics.

Proof.

Suppose $\gamma \subset \mathbf{M}$ is an embedded geodesic and let $p \in \mathbf{L}(\gamma)$. In normal coordinates \mathbf{D} around p , $\gamma \cap \mathbf{D}$ consists of an infinite number of almost parallel geodesic segments that can be expressed as small graphs over a geodesic $I_p \subset \mathbf{D}$ passing through p . A subsequence of these graphs converges smoothly to a graph $\Gamma_p \subset \mathbf{L}(\gamma)$ over I_p , which is a geodesic segment passing through p . □

Proof of the Geodesic Closure Theorem

Step 2 The geodesics in $\mathbf{L}(\gamma)$ are embedded and pairwise disjoint.

Proof.

Intersecting limit geodesic arcs Γ_1, Γ_2 can be approximated by **disjoint** arcs $\gamma_1(n), \gamma_2(n)\}_n$ in γ with $\lim_{n \rightarrow \infty} \gamma_j(n) = \Gamma_j$. Since two distinct geodesics can never intersect nontransversely, $\mathbf{L}(\gamma)$ is a union of a collection of pairwise disjoint embedded geodesics. □

Definition

For $H \in \mathbb{R}$, an **H-hypersurface** M in a Riemannian manifold N is a codimension one submanifold of constant mean curvature H . A codimension one H -lamination \mathcal{L} of N is a collection of immersed (not necessarily injectively) H -hypersurfaces $\{L_\alpha\}_{\alpha \in I}$, called the **leaves** of \mathcal{L} , satisfying the following properties.

- ❶ $\mathcal{L} = \bigcup_{\alpha \in I} \{L_\alpha\}$ is a closed subset of N .
- ❷ If $H = 0$, then \mathcal{L} is a lamination of N . In this case, we also call \mathcal{L} a **minimal lamination**.
- ❸ If $H \neq 0$, then given a leaf L_α of \mathcal{L} and given a small disk $\Delta \subset L_\alpha$, there exists an $\varepsilon > 0$ such that if (q, t) denote the normal coordinates for $\exp_q(t\eta_q)$ (here \exp is the exponential map of N and η is the unit normal vector field to L_α pointing to the mean convex side of L_α), then:
 - The exponential map $\exp: U(\Delta, \varepsilon) = \{(q, t) \mid q \in \text{Int}(\Delta), t \in (-\varepsilon, \varepsilon)\} \rightarrow N$ is a submersion.
 - The inverse image $\exp^{-1}(\mathcal{L}) \cap \{(q, t) \mid q \in \text{Int}(\Delta), t \in [0, \varepsilon)\}$ is a lamination of $U(\Delta, \varepsilon)$.

H-lamination Closure Theorem

Theorem

Suppose L is a complete embedded H -hypersurface in an n -manifold N . Then its closure has the structure of an H -lamination of N if and only if the norm of the second fundamental form $|A_L|$ of L is bounded in each compact domain of N .

Proof.

The proof is similar to the proof of the Geodesic Closure Theorem. □

Corollary

If $L \subset N$ is a complete embedded stable H -surface in a n -manifold, then its closure has the structure of an H -lamination.

Definition

A foliation of an n -manifold is called a **CMC** foliation if all of its leaves are **H**-surfaces (**H** possibly varying).

Conjecture

Suppose \mathcal{F} is a codimension one **CMC** foliation \mathcal{F} of a complete homogeneously regular n -manifold N . Then:

- For $n \leq 8$, there exists a bound on second fundamental form of the leaves of \mathcal{F} .
- A complete stable constant mean curvature hypersurface in \mathbf{R}^n is minimal.
- If $N = \mathbf{R}^n$, then \mathcal{F} is a minimal foliation by planes.

Remark

This conjecture holds in dimension $n = 3$ (Meeks, Perez, Ros).

Definition

- A minimal hypersurface $M \subset N$ of dimension n is said to be **stable** if for every compactly supported normal variation of M , the second variation of area is non-negative.
- If M has constant mean curvature H , then M is said to be **stable** if the same variational property holds for the functional $A - nHV$, where A denotes area and V stands for oriented volume.
- A **Jacobi function** $f: M \rightarrow \mathbb{R}$ is a solution of the equation $\Delta f + |A|^2 f + \text{Ric}(\eta)f = 0$ on M .
- If M is two-sided, then the stability of M is **equivalent** to the existence of a positive Jacobi function on M (**Fischer-Colbrie**).

Theorem (Meeks, Rosenberg)

If \mathbf{L} is a limit leaf of an \mathbf{H} -lamination \mathcal{L} of an n -manifold \mathbf{N} by hypersurfaces and the holonomy representation on \mathbf{L} is trivial (for example, \mathbf{L} is simply connected), then \mathbf{L} is stable.

Proof.

Let $\Omega_1 \subset \Omega_2 \subset \cdots \subset \Omega_n \subset \cdots$ be a smooth exhaustion of \mathbf{L} . Since \mathbf{L} is a limit leaf of \mathcal{L} and the holonomy representation on \mathbf{L} is trivial, there exists leaves \mathbf{L}_n of \mathcal{L} and compact domains $\hat{\Omega}_n \subset \mathbf{L}_n$ which can be expressed as positive normal graphs over Ω_n of functions $f_n: \Omega_n \rightarrow (0, \varepsilon_n)$ with $\varepsilon_n \rightarrow 0$. Fix a point $p \in \Omega_1$ and let $F_n = \frac{f_n}{f_n(p)}: \Omega_n \rightarrow (0, \infty)$. Then a subsequence of the F_n converges on compact subsets of \mathbf{L} to a positive Jacobi function on \mathbf{L} . □

Corollary

If L is a limit leaf of an H -lamination \mathcal{L} of an n -manifold N by hypersurfaces, then the universal cover \tilde{L} of L is stable.

Proof.

Let $L(\varepsilon)$ be a small neighborhood of the zero section z of the normal bundle such that $\exp: L(\varepsilon) \rightarrow N$ is a submersion. Pull back the metric and \mathcal{L} via \exp and the universal cover $\pi: \tilde{L}(\varepsilon) \rightarrow L(\varepsilon)$ to an H -lamination of $\tilde{L}(\varepsilon)$ with $\tilde{z} = \pi^{-1}(z)$ as a simply connected limit leaf. By the previous theorem \tilde{z} is stable. □

The next example shows that a CMC hypersurface $L \subset N$ may be **unstable** and at the same time its universal cover \tilde{L} is **stable**.

Example (R. Schoen)

Consider a compact surface Σ of genus two with a metric g of constant curvature -1 , and a smooth function $f: \mathbb{R} \rightarrow (0, 1]$ with $f(0) = 1$ and $-\frac{1}{8} < f''(0) < 0$. In the warped product metric $f^2 g + dt^2$ on $\Sigma \times \mathbb{R}$:

- Each slice $M_c = \Sigma \times \{c\}$ is a surface of mean curvature $H = -\frac{f'(c)}{f(c)}$ oriented by the unit vector field $\frac{\partial}{\partial t}$.
- The stability operator on the totally geodesic (hence minimal) surface $M_0 = \Sigma \times \{0\}$ is $L = \Delta + \text{Ric}(\frac{\partial}{\partial t}) = \Delta - 2f''(0)$, where Δ is the laplacian on M_0 .
- The first eigenvalue of L in the (compact) surface M_0 is $2f''(0)$, hence M_0 is unstable as a minimal surface.
- The universal cover \tilde{M}_0 of M_0 is the hyperbolic plane.

Since the first eigenvalue of the Dirichlet problem for the laplacian in \tilde{M}_0 is $\frac{1}{4}$, the first eigenvalue of the Dirichlet problem for the Jacobi operator on \tilde{M}_0 is $\frac{1}{4} + 2f''(0) > 0$. Thus, \tilde{M}_0 is an immersed stable minimal surface. Similarly, for c sufficiently small, the CMC surface M_c is **unstable** but its related universal cover is **stable**.

Theorem (Stable Limit Leaf Theorem, Meeks, Perez, Ros)

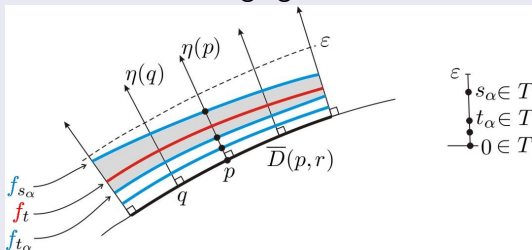
The limit leaves of a codimension one **H**-lamination \mathcal{L} of a Riemannian manifold **N** are stable.

Proof.

Assume: $\text{Dimension}(\mathbf{N}) = 3$.

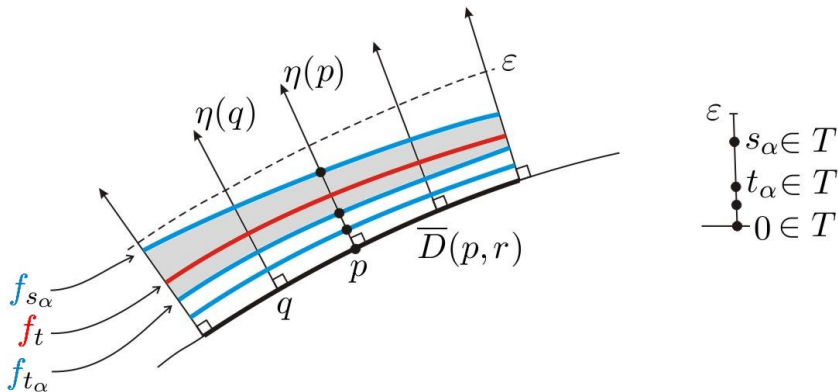
First step: Interpolation result.

Below $D(p, r)$ is a disk in a limit leaf **L** and the **blue** arcs represent graphical disks in leaves converging to **L**.



The interpolating graphs f_t between the **H**-graphs of f_{t_α} , f_{s_α} satisfy

$$\lim_{t \rightarrow 0^+} \frac{\mathbf{H}_t(q) - \mathbf{H}}{t} = 0 \quad \text{for all } q \in D(p, r).$$

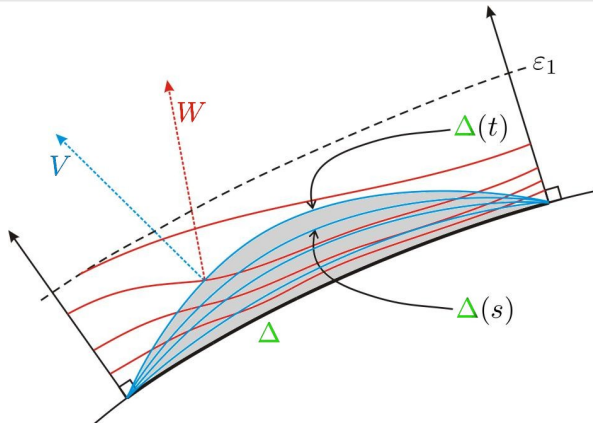


The interpolating graphs $q \mapsto \exp_q(\mathbf{f}_t(q)\eta(q))$, $t \in [t_\alpha, s_\alpha]$, where

$$\mathbf{f}_t = \mathbf{f}_{t_\alpha} + (t - t_\alpha) \frac{\mathbf{f}_{s_\alpha} - \mathbf{f}_{t_\alpha}}{s_\alpha - t_\alpha} = t \left[\frac{t_\alpha}{t} \cdot \frac{\mathbf{f}_{t_\alpha}}{t_\alpha} + \left(1 - \frac{t_\alpha}{t}\right) \cdot \frac{\mathbf{f}_{s_\alpha} - \mathbf{f}_{t_\alpha}}{s_\alpha - t_\alpha} \right],$$

satisfy

$$\lim_{t \rightarrow 0^+} \frac{\mathbf{H}_t(q) - \mathbf{H}}{t} = 0 \quad \text{for all } q \in D(p, r).$$



Assume: $\mathbf{H} = \mathbf{0}$ and $\Delta \subset \mathbf{L} = \text{unstable}$ smooth compact subdomain. Let $\Delta(s)$ be surfaces whose mean curvature increases to first order near Δ and foliate the shaded region $\Omega(t)$ between Δ and $\Delta(t)$. Let \mathbf{V} be the unit normal field to this foliation. Let \mathbf{W} be the unit normal field to the red interpolated foliation containing \mathcal{L} . Note $\text{Div}(\mathbf{V}) \leq \text{Div}(\mathbf{W})$ in $\Omega(t)$. But the flux of \mathbf{V} across $\partial\Omega(t)$ is greater than the flux of \mathbf{W} across the same boundary. The divergence theorem gives a contradiction.

Applications: CMC foliations of 3-manifolds

Theorem (Curvature Estimates, Meeks, Perez, Ros)

Given $K \geq 0$, there exists $C_K \geq 0$ such that whenever N is a complete 3-manifold with absolute curvature bounded by K and \mathcal{F} is a CMC foliation of N , then $|A|_{\mathcal{F}} \leq C_K$. Here $|A|_{\mathcal{F}}$ is the norm of the second fundamental form of the leaves of \mathcal{F} .

Corollary (Meeks)

A CMC foliation of \mathbb{R}^3 is a foliation by parallel planes

Corollary (Mean Curvature Bounds, Meeks, Perez, Ros)

If N is a complete 3-manifold with bounded absolute sectional curvature, then there is a uniform bound on the mean curvature of the leaves of any CMC foliation of N .

Proof of Curvature Estimates for CMC foliations

Proof.

After scaling and lifting to the universal cover, **assume** $K \leq 1$. If the theorem fails, there exists a **CMC** foliation \mathcal{F} of \mathbf{N} and a sequence of points $p_n \in \mathbf{N}$ on leaves L_n , where $\lambda_n = |\mathbf{A}|_{L_n} \geq n$. After rescaling the metric, the foliated balls $\lambda_n \mathbf{B}(p_n, 1)$ converge to a "singular **CMC** foliation" $\mathcal{Z} = \{\Sigma_\alpha\}_\alpha$ of \mathbf{R}^3 such that:

- $|\mathbf{A}|_{\mathcal{Z}} \leq 1$.
- The leaf Σ passing through the origin is nonflat.
- \mathcal{Z} is not a minimal foliation.

Since $|\mathbf{A}|_{\mathcal{Z}} \leq 1$, after translations of \mathcal{Z} , we obtain another limit singular **CMC** foliation of \mathbf{R}^3 with a leaf passing through the origin having maximal **nonzero** mean curvature. But this leaf is then a **stable** sphere which is impossible. □

Sharp Mean Curvature Bounds

Theorem (Meeks, Perez, Ros)

Suppose that N is \mathbb{R}^3 equipped with a complete homogeneously regular metric satisfying: **the scalar curvature of N is bounded from below by a nonpositive constant $-C$.**

Then:

- The mean curvature H of any leaf of \mathcal{F} satisfies $H^2 \leq C$.
- Leaves of \mathcal{F} with $|H| = \sqrt{C}$ are stable, have at most quadratic area growth and are asymptotically umbilic.
- If $C \geq 0$, then \mathcal{F} is a minimal foliation.

Similar arguments and previous results, lead to a proof of:

Theorem (Meeks, Perez, Ros)

A codimension one **CMC** foliation of \mathbb{R}^n , $n \leq 5$, is minimal.